

ESTEE LAUDER COMPANIES INC  
Form 4  
January 04, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Leonard A. Lauder 2013 Revocable Trust

(Last) (First) (Middle)

C/O THE ESTEE LAUDER COMPANIES INC., 767 FIFTH AVENUE

(Street)

NEW YORK, NY 10153

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
ESTEE LAUDER COMPANIES INC [EL]

3. Date of Earliest Transaction (Month/Day/Year)  
11/05/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired or Disposed of (A) or (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|--|---|--|-----------------------------------|
|                                 |                                      |  |                                | (A) or (D)   | Price   |  |                                   |
|                                 |                                      |  |                                | Code   | V   | Amount   |                                   |
| Class A Common Stock            | 11/05/2015                           |  | G <sup>(1)</sup>               | V  | 110,000   | D  | ② 1,459,838 D <sup>(3)</sup>      |
| Class A Common Stock            | 11/05/2015                           |  | G <sup>(1)</sup>               | V  | 110,000   | A  | ② 110,000 D <sup>(4)</sup>        |
| Class A Common Stock            | 11/19/2015                           |  | G <sup>(1)</sup>               | V  | 10,000  | D  | ② 1,449,838 D <sup>(3)</sup>      |

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|                            |            |                  |   |         |   |   |           |                      |  |
|----------------------------|------------|------------------|---|---------|---|---|-----------|----------------------|--|
| Class A<br>Common<br>Stock | 11/19/2015 | G <sup>(1)</sup> | V | 10,000  | A | 2 | 120,000   | D <sup>(4)</sup>     |  |
| Class A<br>Common<br>Stock | 11/24/2015 | G <sup>(5)</sup> | V | 10,000  | D | 2 | 110,000   | D <sup>(4)</sup>     |  |
| Class A<br>Common<br>Stock | 11/24/2015 | G <sup>(5)</sup> | V | 10,000  | A | 2 | 10,000    | I <sup>(6) (7)</sup> | Held by<br>Judith<br>Glickman<br>Lauder. |
| Class A<br>Common<br>Stock | 12/21/2015 | G <sup>(8)</sup> | V | 110,000 | D | 2 | 0         | D <sup>(4)</sup>     |  |
| Class A<br>Common<br>Stock | 12/21/2015 | G <sup>(8)</sup> | V | 110,000 | A | 2 | 1,559,838 | D <sup>(3)</sup>     |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Own<br>Follo<br>Repor<br>Trans<br>(Instr |
|---|--|---|---|--------------------------------------|--|--|---|---|---|
|   |  |   |   |                                      |  | Date<br>Exercisable  | Expiration<br>Date  | Title   | Amount<br>or<br>Number<br>of<br>Shares                                      |
|   |  |   |   |                                      |  | Code   | V (A) (D)   |   |   |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| Leonard A. Lauder 2013 Revocable Trust<br>C/O THE ESTEE LAUDER COMPANIES INC. |               |           | X       |       |

767 FIFTH AVENUE  
NEW YORK, NY 10153

|   |   |   |                   |
|---|---|---|-------------------|
| LAUDER LEONARD A<br>C/O THE ESTEE LAUDER COMPANIES INC.<br>767 FIFTH AVENUE<br>NEW YORK, NY 10153 | X | X | Chairman Emeritus |
|---|---|---|-------------------|

## Signatures

|  |            |
|--|------------|
| Leonard A. Lauder, Trustee, by Maureen Sladek,<br>attorney-in-fact | 01/04/2016 |
|--|------------|

\_\_Signature of Reporting Person

Date

|  |            |
|--|------------|
| Leonard A. Lauder, by Maureen Sladek, attorney-in-fact | 01/04/2016 |
|--|------------|

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Leonard A. Lauder 2013 Revocable Trust ("LAL Rev Trust") transferred the shares to Leonard A. Lauder ("LAL") for no consideration.
- (2) Not applicable.
- (3) Directly held by LAL Rev Trust.
- (4) Directly held by LAL.
- (5) LAL transferred the shares to his wife, Judith Glickman Lauder ("JGL"), for no consideration.
- (6) Indirectly held by LAL through JGL.
- (7) LAL disclaims beneficial ownership of securities owned by JGL.
- (8) LAL transferred the shares to LAL Rev Trust for no consideration.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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