

TYSON FOODS INC
Form 4
March 28, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
VAN BEBBER DAVID L

(Last) (First) (Middle)

2200 W DON TYSON PARKWAY

(Street)

SPRINGDALE, AR 72762

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
TYSON FOODS INC [TSN]

3. Date of Earliest Transaction (Month/Day/Year)
12/16/2015

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

EVP & General Counsel

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| Class A Common Stock | 12/16/2015 | | G | | 3,000 | D | \$ 0 |
| | | | | | | | 171,996.8242 (1) |
| Class A Common Stock | 12/17/2015 | | G | | 1,000 | D | \$ 0 |
| | | | | | | | 170,996.8242 (1) |
| Class A Common Stock | 01/06/2016 | | G | | 750 | D | \$ 0 |
| | | | | | | | 170,246.8242 (1) |
| Class A Common | 03/15/2016 | | J ⁽²⁾ | | 124.38 | A | \$ 0 |
| | | | | | | | 170,371.2042 (3) |

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| Stock | | | | | | | | Employee Stock Purchase Plan |
|----------------------------|------------|-------------------------|----------|---|-------------------------------------|------------------------------------|---|---------------------------------------|
| Class A Common Stock | 03/24/2016 | <u>J</u> ⁽⁴⁾ | 416,6037 | A | \$ 0 | 2,866.1044 | I | |
| Class A Common Stock | 03/24/2016 | M | 10,000 | A | \$ 4.9 | <u>180,371.2042</u> ⁽³⁾ | D | |
| Class A Common Stock | 03/24/2016 | S | 10,000 | D | \$ <u>67.207</u> ⁽⁵⁾ | <u>170,371.2042</u> ⁽³⁾ | D | |
| Class A Common Stock | 03/24/2016 | M | 10,000 | A | \$ 12.02 | <u>180,371.2042</u> ⁽³⁾ | D | |
| Class A Common Stock | 03/24/2016 | S | 10,000 | D | \$ <u>67.174</u> ⁽⁶⁾ | <u>170,371.2042</u> ⁽³⁾ | D | |
| Class A Common Stock | 03/24/2016 | M | 10,000 | A | \$ 16.19 | <u>180,371.2042</u> ⁽³⁾ | D | |
| Class A Common Stock | 03/24/2016 | S | 10,000 | D | \$ <u>67.141</u> ⁽⁷⁾ | <u>170,371.2042</u> ⁽³⁾ | D | |
| Class A Common Stock | 03/24/2016 | M | 10,000 | A | \$ 19.63 | <u>180,371.2042</u> ⁽³⁾ | D | |
| Class A Common Stock | 03/24/2016 | S | 10,000 | D | \$ <u>66.961</u> ⁽⁸⁾ | <u>170,371.2042</u> ⁽³⁾ | D | |
| Class A Common Stock | 03/24/2016 | M | 15,800 | A | \$ 19.36 | <u>186,171.2042</u> ⁽³⁾ | D | |
| Class A Common Stock | 03/24/2016 | S | 15,800 | D | \$ <u>66.847</u> ⁽⁹⁾ | <u>170,371.2042</u> ⁽³⁾ | D | |
| Class A Common Stock | 03/24/2016 | M | 19,667 | A | \$ 31.82 | <u>190,038.2042</u> ⁽³⁾ | D | |
| Class A Common Stock | 03/24/2016 | S | 19,667 | D | \$ <u>66.743</u> ⁽¹⁰⁾ | <u>170,371.2042</u> ⁽³⁾ | D | |
| Class A Common Stock | | | | | | 1,150 | I | By Son |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Non-Qualified Stock Options (Right to Buy) | \$ 4.9 | 03/24/2016 | | M | 10,000 | 11/14/2010 11/14/2018 | Class A Common Stock | 10 |
| Non-Qualified Stock Options (Right to Buy) | \$ 12.02 | 03/24/2016 | | M | 10,000 | 11/30/2010 11/30/2019 | Class A Common Stock | 10 |
| Non-Qualified Stock Options (Right to Buy) | \$ 16.19 | 03/24/2016 | | M | 10,000 | 11/29/2011 11/29/2020 | Class A Common Stock | 10 |
| Non-Qualified Stock Options (Right to Buy) | \$ 19.63 | 03/24/2016 | | M | 10,000 | 11/28/2012 11/28/2021 | Class A Common Stock | 10 |
| Non-Qualified Stock Options (Right to Buy) | \$ 19.36 | 03/24/2016 | | M | 15,800 | 11/26/2013 11/26/2022 | Class A Common Stock | 15 |
| Non-Qualified Stock Options (Right to Buy) | \$ 31.82 | 03/24/2016 | | M | 19,667 | 11/22/2014 11/22/2023 | Class A Common Stock | 19 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-----------------------|-------|
| | Director | 10% Owner | Officer | Other |
| VAN BEBBER DAVID L 2200 W DON TYSON PARKWAY SPRINGDALE, AR 72762 | | | EVP & General Counsel | |

Signatures

/s/ David L. Van
Bebber

03/28/2016

__Signature of Reporting
Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 9,304.909 shares of Class A Common Stock which vest on the fourth business day following the filing of the Issuer's Annual Report on Form 10-K for its 2016 fiscal year if the performance metrics described in the applicable Stock Incentive Agreement are achieved; Includes 6,949.233 shares of Class A Common Stock which vest on the fourth business day following the filing of the Issuer's Annual Report on Form 10-K for its 2017 fiscal year if the performance metrics described in the applicable Stock Incentive Agreement are achieved; and, includes 8,456.088 shares of Class A Common Stock which vest on December 1, 2018 if the performance metrics described in the applicable Stock Incentive Agreement are achieved.

(2) Represents shares of the Issuer's Class A Common Stock received by the Reporting Person pursuant to the Issuer's dividend reinvestment plan since the last Statement of Changes in Beneficial Ownership was filed by the Reporting Person. Such acquisitions are exempt from Section 16 concurrent reporting requirements pursuant to Rule 16a-11.

(3) Includes 9,325.7619 shares of Class A Common Stock which vest on the fourth business day following the filing of the Issuer's Annual Report on Form 10-K for its 2016 fiscal year if the performance metrics described in the applicable Stock Incentive Agreement are achieved; Includes 6,964.8073 shares of Class A Common Stock which vest on the fourth business day following the filing of the Issuer's Annual Report on Form 10-K for its 2017 fiscal year if the performance metrics described in the applicable Stock Incentive Agreement are achieved; and, includes 8,475.039 shares of Class A Common Stock which vest on December 1, 2018 if the performance metrics described in the applicable Stock Incentive Agreement are achieved.

(4) Represents shares of the Issuer's Class A Common Stock purchased for the Reporting Person's account under the Issuer's Employee Stock Purchase Plan since the last Statement of Changes in Beneficial Ownership was filed by the Reporting Person. Such acquisitions are exempt from Section 16 concurrent reporting requirements pursuant to Rule 16b-3.

(5) This is a weighted average price. These shares were sold in multiple transactions on March 24, 2016 at prices ranging from \$66.92 to \$67.46, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.

(6) This is a weighted average price. These shares were sold in multiple transactions on March 24, 2016 at prices ranging from \$66.93 to \$67.31, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.

(7) This is a weighted average price. These shares were sold in multiple transactions on March 24, 2016 at prices ranging from \$67.06 to \$67.25, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.

(8) This is a weighted average price. These shares were sold in multiple transactions on March 24, 2016 at prices ranging from \$66.80 to \$67.12, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.

(9) This is a weighted average price. These shares were sold in multiple transactions on March 24, 2016 at prices ranging from \$66.79 to \$66.93, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.

(10) This is a weighted average price. These shares were sold in multiple transactions on March 24, 2016 at prices ranging from \$66.70 to \$66.82, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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