VAN BEBBER DAVID L

Form 4

December 07, 2017

FORM 4

Check this box

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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January 31,

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading VAN BEBBER DAVID L Issuer Symbol TYSON FOODS INC [TSN] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X_ Officer (give title Other (specify 2200 W DON TYSON PARKWAY 11/30/2017 below) General Counsel (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person

SPRINGDALE, AR 72762

(City)	(State)	(Zip) Tal	ble I - Non	-Derivative	Secur	ities Acqui	red, Disposed of, o	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securitie oner Disposed (Instr. 3, 4)	d of (E))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	11/30/2017		J <u>(1)</u>	33.7318	A	\$ 0	1,855.9263	I	Employee Stock Purchase Plan
Class A Common Stock	12/06/2017		M	10,508	A	\$ 58.34	208,182.4873 (2)	D	
Class A Common Stock	12/06/2017		S	10,508	D	\$ 83.244 (3)	197,674.4873 (2)	D	
	12/06/2017		M	24,507	A	\$ 50		D	

Class A Common Stock						222,181.4873 (2)	
Class A Common Stock	12/06/2017	S	24,507	D	\$ 83.243 (4)	197,674.4873 (2)	D
Class A Common Stock	12/06/2017	M	2,500	A	\$ 4.9	200,174.4873 (2)	D
Class A Common Stock	12/06/2017	S	2,500	D	\$ 83.428 (5)	197,674.4873 (2)	D
Class A Common Stock	12/06/2017	M	2,500	A	\$ 12.02	200,174.4873 (2)	D
Class A Common Stock	12/06/2017	S	2,500	D	\$ 83.372 (6)	197,674.4873 (2)	D
Class A Common Stock	12/06/2017	M	2,500	A	\$ 16.19	200,174.4873 (2)	D
Class A Common Stock	12/06/2017	S	2,500	D	\$ 83.354 <u>(7)</u>	197,674.4873 (2)	D
Class A Common Stock	12/06/2017	M	2,500	A	\$ 19.63	200,174.4873 (2)	D
Class A Common Stock	12/06/2017	S	2,500	D	\$ 83.291 (8)	197,674.4873 (2)	D
Class A Common Stock	12/06/2017	M	10,000	A	\$ 19.36	207,674.4873 (2)	D
Class A Common Stock	12/06/2017	S	10,000	D	\$ 83.253 <u>(9)</u>	197,674.4873 (2)	D
Class A Common Stock	12/06/2017	M	34,833	A	\$ 31.82	232,507.4873 (2)	D
Class A Common Stock	12/06/2017	S	34,833	D	\$ 83.242 (10)	197,674.4873 (2)	D
Class A Common	12/06/2017	M	74,500	A	\$ 42.26	272,174.4873 (2)	D

Stock

Class A Common Stock	12/06/2017	S	74,500	D	\$ 83.257 (11)	197,674.4873 (2)	D	
Class A Common						1,150	ī	By Son
Stock						1,130	•	Dy Son

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	FransactionDerivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Secur (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Am or Num of S
Non-Qualified Stock Options (Right to Buy)	\$ 58.34	12/06/2017		M		10,508	11/28/2017	11/28/2026	Class A Common Stock	10
Non-Qualified Stock Options (Right to Buy)	\$ 50	12/06/2017		M		24,507	11/30/2016	11/30/2025	Class A Common Stock	24
Non-Qualified Stock Options (Right to Buy)	\$ 4.9	12/06/2017		M		2,500	11/14/2010	11/14/2018	Class A Common Stock	2,
Non-Qualified Stock Options (Right to Buy)	\$ 12.02	12/06/2017		M		2,500	11/30/2010	11/30/2019	Class A Common Stock	2,
Non-Qualified Stock Options (Right to Buy)	\$ 16.19	12/06/2017		M		2,500	11/29/2011	11/29/2020	Class A Common Stock	2,
Non-Qualified Stock Options (Right to Buy)	\$ 19.63	12/06/2017		M		2,500	11/28/2012	11/28/2021	Class A Common Stock	2,

Non-Qualified Stock Options (Right to Buy)	\$ 19.36	12/06/2017	M	10,000	11/26/2013	11/26/2022	Class A Common Stock	10
Non-Qualified Stock Options (Right to Buy)	\$ 31.82	12/06/2017	M	34,833	11/22/2014	11/22/2023	Class A Common Stock	34
Non-Qualified Stock Options (Right to Buy)	\$ 42.26	12/06/2017	M	74,500	11/21/2015	11/21/2024	Class A Common Stock	74

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

VAN BEBBER DAVID L 2200 W DON TYSON PARKWAY SPRINGDALE, AR 72762

General Counsel

Signatures

/s/ David L. Van Bebber 12/07/2017

**Signature of Reporting Date

Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents shares of the Issuer's Class A Common Stock purchased for the Reporting Person's account under the Issuer's Employee

 (1) Stock Purchase Plan since the last Statement of Changes in Beneficial Ownership was filed by the Reporting Person. Such acquisitions are exempt from Section 16 concurrent reporting requirements pursuant to Rule 16b-3.
 - Includes 8,634.783 shares of Class A Common Stock which vest on the fourth business day following the filing of the Issuer's Annual Report on Form 10-K for its 2018 fiscal year if the performance metrics described in the applicable Stock Incentive Agreement are
- (2) achieved; 7,354.251 shares of Class A Common Stock which vest on November 18, 2019 if the performance metrics described in the applicable Stock Incentive Agreement are achieved; and 5,167.035 shares of Class A Common Stock which vest on November 13, 2020 if the performance metrics described in the applicable Stock Incentive Agreement are achieved.
- This is a weighted average price. These shares were sold in multiple transactions on December 6, 2017 at prices ranging from \$83.11 to \$83.37, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.
- This is a weighted average price. These shares were sold in multiple transactions on December 6, 2017 at prices ranging from \$83.10 to \$83.37, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.
- This is a weighted average price. These shares were sold in multiple transactions on December 6, 2017 at prices ranging from \$83.35 to \$83.51, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the
- (5) Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.
- (6) This is a weighted average price. These shares were sold in multiple transactions on December 6, 2017 at prices ranging from \$83.27 to \$83.43, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the

Reporting Owners 4

Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.

- This is a weighted average price. These shares were sold in multiple transactions on December 6, 2017 at prices ranging from \$83.28 to \$83.50, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.
- This is a weighted average price. These shares were sold in multiple transactions on December 6, 2017 at prices ranging from \$83.28 to \$83.32, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.
- (9) This is a weighted average price. These shares were sold in multiple transactions on December 6, 2017 at prices ranging from \$83.12 to \$83.37, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.
- This is a weighted average price. These shares were sold in multiple transactions on December 6, 2017 at prices ranging from \$83.12 to \$83.38, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.
- This is a weighted average price. These shares were sold in multiple transactions on December 6, 2017 at prices ranging from \$83.09 to \$83.39, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.