Tu Amy Form 3 December 19, 2017

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement TYSON FOODS INC [TSN] Tu Amy (Month/Day/Year) 12/18/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 2200 W DON TYSON (Check all applicable) **PARKWAY** (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting EVP & General Counsel Person SPRINGDALE, ARÂ 72762 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Class A Common Stock 3,690.945 (1) D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

6. Nature of 1. Title of Derivative Security 2. Date Exercisable and 3. Title and Amount of Securities Underlying (Instr. 4) **Expiration Date** Conversion Ownership Indirect Beneficial (Month/Day/Year) **Derivative Security** or Exercise Form of Ownership (Instr. 4) Price of Derivative (Instr. 5) Derivative Security: Title Direct (D) Security

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Performance Shares	(2)	(2)	Class A Common Stock	14,763.78	\$ <u>(2)</u>	D	Â
Non-Qualified Stock Options (Right to Buy)	12/15/2018	12/15/2027	Class A Common Stock	15,271 (3)	\$ 81.28	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
***	Director	10% Owner	Officer	Other		
Tu Amy 2200 W DON TYSON PARKWAY SPRINGDALE, AR 72762	Â	Â	EVP & General Counsel	Â		

## **Signatures**

**(2)** 

/s/ R. Read Hudson by Power of Attorney for Amy Tu 12/19/2017

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Award of Class A Common Stock which vests on November 20, 2020 if the performance metric described in the applicable Stock Incentive Agreement (the Incentive Agreement) is achieved and the Reporting Person is employed by the Issuer on the vesting date. The performance metric is achievement of a three year (fiscal 2018-2020) cumulative operating income target as set forth in the Incentive Agreement. If the performance metric is not achieved, the award expires.
  - Award of performance Class A Common Stock which vests on November 20, 2020 if the performance metrics described in the applicable Stock Incentive Agreement are achieved. The performance metrics set forth in the Stock Incentive Agreement are (1) achievement of a three year (fiscal 2018-2020) cumulative operating income target and (2) a favorable comparison of the relative total shareholder return of the Issuer's Class A Common Stock compared to a predetermined peer group of publicly traded companies over a three year (fiscal 2018-2020) period. Subject to the achievement of the performance criteria, the performance shares could vest at a level of 50 percent to 200 percent and are reported as derivative securities at the 200 percent level. If neither of the performance metrics is achieved, the award expires.
- (3) The stock options vest at 33 1/3% on each of the first, second, and third anniversary dates of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2