Grimes Sally Form 4 July 05, 2018

## FORM 4

#### OMB APPROVAL

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16. Form 4 or

SHIP OF Expires: January 31, 2005

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Ad<br>Grimes Sally | •       | rting Person * | 2. Issuer Name and Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer           |  |  |  |
|--------------------------------|---------|----------------|---|--|--|--|--|
|                                |         |                | TYSON FOODS INC [TSN]                       | (Check all applicable)                                     |  |  |  |
| (Last)                         | (First) | (Middle)       | 3. Date of Earliest Transaction             |  |  |  |  |
|                                |         |                | (Month/Day/Year)                            | Director 10% Owner   |  |  |  |
| 400 S. JEFFERSON STREET        |         |                | 06/19/2018                                  | _X_ Officer (give title Other (specify below)              |  |  |  |
|                                |         |                |   | Group Pres Prepared Foods                                  |  |  |  |
| (Street) CHICAGO, IL 60607     |         |                | 4. If Amendment, Date Original              | 6. Individual or Joint/Group Filing(Check Applicable Line) |  |  |  |
|                                |         |                | Filed(Month/Day/Year)                       |  |  |  |  |
|                                |         |                |   | _X_ Form filed by One Reporting Person                     |  |  |  |
|                                |         |                |   | Form filed by More than One Reporting Person               |  |  |  |
|                                |         |                |   |  |  |  |  |
| (City)                         | (State) | (Zip)          | Table I - Non-Derivative Securities Acc     | quired, Disposed of, or Beneficially Owned                 |  |  |  |

| (City)                               | (State)                                 | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |  |   |             |  |  |   |  |
|--------------------------------------|---|--|--|--|---|-------------|--|--|---|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                            | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securities Acquired (A) owr Disposed of (D) (Instr. 3, 4 and 5)  (A) or |   |             | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Class A<br>Common<br>Stock           | 06/19/2018                              |  | <u>J(1)</u>                            | 842.36   | A | \$ 0        | 88,809.0533<br>(2)   | D  |   |  |
| Class A<br>Common<br>Stock           | 06/28/2018                              |  | J <u>(3)</u>                           | 866.3143   | A | \$ 0        | 5,426.057  | I  | Employee<br>Stock<br>Purchase<br>Plan                             |  |
| Class A<br>Common<br>Stock           | 07/01/2018                              |  | S(4)                                   | 19,258   | D | \$<br>68.85 | 69,551.0533<br>(5)   | D  |   |  |

#### Edgar Filing: Grimes Sally - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.        | 5.                           | 6. Date Exerc | isable and | 7. Title | and      | 8. Price of |
|-------------|-------------|---------------------|--------------------|-----------|------------------------------|---------------|------------|----------|----------|-------------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transac   | ctionNumber                  | Expiration Da | ate        | Amour    | nt of    | Derivative  |
| Security    | or Exercise |                     | any                | Code      | of                           | (Month/Day/   | Year)      | Underl   | ying     | Security    |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8 | <ol><li>Derivative</li></ol> | e             |            | Securit  | ties     | (Instr. 5)  |
|             | Derivative  |                     |                    |           | Securities                   |               |            | (Instr.  | 3 and 4) |             |
|             | Security    |                     |                    |           | Acquired                     |               |            |          |          |             |
|             |             |                     |                    |           | (A) or                       |               |            |          |          |             |
|             |             |                     |                    |           | Disposed                     |               |            |          |          |             |
|             |             |                     |                    |           | of (D)                       |               |            |          |          |             |
|             |             |                     |                    |           | (Instr. 3,                   |               |            |          |          |             |
|             |             |                     |                    |           | 4, and 5)                    |               |            |          |          |             |
|             |             |                     |                    |           |                              |               |            |          |          |             |
|             |             |                     |                    |           |                              |               |            |          | Amount   |             |
|             |             |                     |                    |           |                              | Date          | Expiration |          | or       |             |
|             |             |                     |                    |           |                              | Exercisable   | Date       |          | Number   |             |
|             |             |                     |                    |           |                              |               |            |          | of       |             |
|             |             |                     |                    | Code      | V (A) (D)                    |               |            |          | Shares   |             |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Grimes Sally 400 S. JEFFERSON STREET CHICAGO, IL 60607

**Group Pres Prepared Foods** 

### Signatures

/s/ Brett Worlow by Power of Attorney for Sally Grimes

07/05/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents shares of the Issuer's Class A Common Stock received by the Reporting Person pursuant to the Issuer's dividend reinvestment (1) plan since the last Statement of Changes in Beneficial Ownership was filed by the Reporting Person. Such acquisitions are exempt from Section 16 concurrent reporting requirements pursuant to Rule 16a-11.
  - Includes 48,388.5647 shares of Class A Common Stock which vested as described in footnote 4; 8,738.371 shares of Class A Common Stock which vest on December 1, 2018 if the performance metrics described in the applicable Stock Incentive Agreement are achieved;
- (2) 7,442.477 shares of Class A Common Stock which vest on November 18, 2019 if the performance metrics described in the applicable Stock Incentive Agreement are achieved; and 6,489.654 shares of Class A Common Stock which vest on November 13, 2020 if the performance metrics described in the applicable Stock Incentive Agreement are achieved.

**(3)** 

Reporting Owners 2

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Represents shares of the Issuer's Class A Common Stock purchased for the Reporting Person's account under the Issuer's Employee Stock Purchase Plan since the last Statement of Changes in Beneficial Ownership was filed by the Reporting Person. Such acquisitions are exempt from Section 16 concurrent reporting requirements pursuant to Rule 16b-3.

- On July 1, 2018, 48,388.5647 shares of restricted Class A Common Stock vested. These shares were previously reported as beneficially owned by the Reporting Person. Pursuant to an election made by the Reporting Person, 19,258 shares were sold by the Reporting Person to the Issuer to satisfy tax withholding obligations.
- Includes 8,738.371 shares of Class A Common Stock which vest on December 1, 2018 if the performance metrics described in the applicable Stock Incentive Agreement are achieved; 7,442.477 shares of Class A Common Stock which vest on November 18, 2019 if the performance metrics described in the applicable Stock Incentive Agreement are achieved; and 6,489.654 shares of Class A Common Stock which vest on November 13, 2020 if the performance metrics described in the applicable Stock Incentive Agreement are achieved.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.