

Edgar Filing: PUBLIC SERVICE CO OF NEW MEXICO - Form 4

PUBLIC SERVICE CO OF NEW MEXICO

Form 4

August 30, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person

STERBA, JEFFRY E

ALVARADO SQUARE

M. S. 2824

ALBUQUERQUE, NM 87158

USA

2. Issuer Name and Ticker or Trading Symbol

PNM RESOURCES, INC.

PNM

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

08/29/02

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

() Director () 10% Owner (X) Officer (give title below) () Other

(specify below)

CHAIRMAN, PRESIDENT & CHIEF EXECUTIVE OFFICER

7. Individual or Joint/Group Filing (Check Applicable Line)

(X) Form filed by One Reporting Person

() Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security	2. Transaction Date	3. Code	4. Securities Acquired (A) or Disposed of (D) Amount	A/ D	Price	5. Amount of Securities Beneficially Owned at End of Month
COMMON STOCK	01/07/02	L	V14.2298	A	\$28.0850	
COMMON STOCK	01/18/02	L	V6.8434	A	\$29.2004	
COMMON STOCK	02/08/02	L	V7.5829	A	\$26.3500	
COMMON STOCK	02/21/02	L	V7.6938	A	\$25.9700	
COMMON STOCK	03/06/02	L	V7.3589	A	\$27.1729	
COMMON STOCK	03/20/02	L	V7.0877	A	\$28.1930	
COMMON STOCK	04/04/02	L	V13.1328	A	\$30.4332	

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	02								
COMMON STOCK	04/18/	L	V	14.2039	A	\$28.1362			
	02								
COMMON STOCK	05/08/	L	V	14.0228	A	\$28.5000			
	02								
COMMON STOCK	05/20/	L	V	14.7520	A	\$27.0900			
	02								
COMMON STOCK	06/06/	L	V	31.3205	A	\$25.5174			
	02								
COMMON STOCK	06/20/	L	V	16.2503	A	\$24.5900			
	02								
COMMON STOCK	07/05/	L	V	16.9169	A	\$23.6200			
	02								
COMMON STOCK	07/15/	L	V	100.0000	A				
	02								
COMMON STOCK	07/18/	L	V	21.0804	A	\$18.9500			
	02								
COMMON STOCK	08/08/	L	V	20.0250	A	\$19.9500			
	02								
COMMON STOCK	08/20/	L	V	19.3692	A	\$20.6263		(1) 3,963	
	02								

Table II -- Derivative Securitized Acquired, Disposed of, or Beneficially Owned

1.Title of Derivative Security	2.Con- version or Exer- cise Price of Deriva- tive Secu- rity	3. Transaction	4. Code	5.Number of De rivative Secu rities Acqui red(A) or Dis posed of(D) Amount	6.Date Exer- cisable and Expiration Date(Month/ Day/Year) Date Expir- ation Date	7.Title and Amount of Underlying Securities Title and Number of Shares	8.P of vat Sec rit
OPTIONS		02/18/ 02	A 	V 100,000 	A 	(2) 02/18/ 12	COMMON STOCK 100,000
PHANTON STOCK UNITS	1 FOR 1 	07/31/ 02	A 	V 1,544 	A 	(3) (3) COMMON STOCK 1,544	

Explanation of Responses:

(1) TOTAL INCLUDES 5 SHARES ACQUIRED UNDER THE PNM RESOURCES, INC. DIVIDEND REINVESTMENT PLAN AND 3,366 ACQUIRED UNDER THE PNM RESOURCES, INC. 401(k) PLAN. THE INFORMATION IN THIS REPORT IS BASED ON A PLAN STATEMENT DATED 7/31/02.

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(2) THE OPTION VESTS IN THREE EQUAL ANNUAL INSTALLMENTS BEGINNING FEBRUARY 18, 2003.

(3) THE PHANTOM STOCK UNITS WERE ACQUIRED UNDER PNM RESOURCES, INC. EXECUTIVE SAVINGS PLAN AND WILL BE SETTLED UPON THE REPORTING PERSON'S RETIREMENT OR OTHER TERMINATION OF SERVICE.