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GUARANTY FEDERAL BANCSHARES INC

Form 4

March 01, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

82,892

4,074.57

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January 31, 2005

0.5

burden hours per response...

Estimated average

OMB APPROVAL

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. See Instruction

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

COMMON

COMMON

STOCK

STOCK

(Print or Type Responses)

1. Name and Address of Reporting Person *

| HELLWEG I | KURT D | | Symbol GUARANTY FEDERAL BANCSHARES INC [GFED] | | | | , | Issuer (Check all applicable) | | | | |
|--------------------------------------|---|-----------------------------|---|---------------------------------------|--|---------------------------|-------|--|--|---|--|--|
| (Last) 4083 EAGLE | (First) | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 02/25/2016 | | | | | _X_ Director 10% Owner Officer (give title below) Other (specify below) | | | | |
| SPRINGFIELD, MO 65809 | | | 4. If Amend Filed(Month | ′ | e Original | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | |
| SPRINGFIEI | | | | | Form filed by More than One Reporting Person | | | | | | | |
| (City) | (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | |
| 1.Title of Security (Instr. 3) | 2. Transaction (Month/Day/Y | Year) Execution Execution (| Deemed ution Date, if hth/Day/Year) | 3. Transacti Code (Instr. 8) | , | l (A) of (D) 4 and (A) or |)) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| COMMON STOCK | 02/25/2016 | | | A | 1,167 | A | \$ 15 | 1,167 | I | Restricted | | |
| COMMON STOCK | | | | | | | | 2,789 | I | Restricted | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Self

Trustee

DRIP

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exer | cisable and | 7. Title a | and | 8. Price of | 9. Nı |
|-------------|---|---|--|---|--|---|--|--|--|--|
| Conversion | (Month/Day/Year) | Execution Date, if | TransactionNumber | | Expiration Date | | Amount | of | Derivative | Deriv |
| or Exercise | | any | Code | of | (Month/Day | /Year) | Underlyi | ing | Security | Secu |
| Price of | | (Month/Day/Year) | (Instr. 8) | Derivativ | e | | Securitie | es | (Instr. 5) | Bene |
| Derivative | | | | Securities | 1 | | (Instr. 3 | and 4) | | Own |
| Security | | | | Acquired | | | | | | Follo |
| | | | | (A) or | | | | | | Repo |
| | | | | Disposed | | | | | | Tran |
| | | | | of (D) | | | | | | (Inst |
| | | | | (Instr. 3, | | | | | | |
| | | | | 4, and 5) | | | | | | |
| | | | | | | | Δ | mount | | |
| | | | | | | | Or | | | |
| | | | | | Date | Expiration | | | | |
| | | | | | Exercisable | Date | | | | |
| | | | Code V | (A) (D) | | | | | | |
| | Conversion or Exercise Price of Derivative | Conversion (Month/Day/Year) or Exercise Price of Derivative | Conversion (Month/Day/Year) Execution Date, if or Exercise any (Month/Day/Year) Derivative | Conversion (Month/Day/Year) Execution Date, if any Code Price of (Month/Day/Year) (Instr. 8) Derivative Security | Conversion or Exercise any Code of Price of Derivative Security Acquired (A) or Disposed of (D) (Instr. 3, | Conversion or Exercise Price of Derivative Security Execution Date, if any Code of (Month/Day/Pear) (Instr. 8) Derivative Security Execution Date, if Code of (Month/Day/Pear) (Instr. 8) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) Date Exercisable | Conversion (Month/Day/Year) Execution Date, if any (Month/Day/Year) Code of (Month/Day/Year) Price of Derivative Security Securities Security Security Security Security Security Security Securities Security Security Securities Security Securities Security Securities Security Securities | Conversion (Month/Day/Year) Execution Date, if any Code of (Month/Day/Year) Underly Code of (Month/Day/Year) Underly Securities Price of Derivative Securities Security Securities Securities (Instr. 3) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) Date Expiration Date Amount (Month/Day/Year) Underly Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Conversion of Exercise Price of Price of Derivative Security Securities Securitie | Conversion of Exercise of Execution Date, if any any (Month/Day/Year) or Exercise Price of Derivative Security Security Execution Date, if any (Month/Day/Year) of Exercise Price of Code of (Month/Day/Year) (Instr. 8) Derivative Securities Securities (Instr. 3 and 4) Securities (Instr. 3 and 4) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) Date Expiration Date (Month/Day/Year) Underlying Security (Instr. 5) Derivative Securities (Instr. 3 and 4) Disposed of (D) (Instr. 3, 4, and 5) Amount or Disposed of (D) (Instr. 3, 4, and 5) Amount or Title Number of Number of |

Reporting Owners

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

HELLWEG KURT D 4083 EAGLESCLIFFE X SPRINGFIELD, MO 65809

Signatures

KURT D.

HELLWEG 03/01/2016 **Signature of Date Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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