

BARTON RICHARD N  
Form 4  
October 03, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
BARTON RICHARD N

2. Issuer Name and Ticker or Trading Symbol  
NETFLIX INC [NFLX]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
100 WINCHESTER CIRCLE  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
10/02/2017

Director  10% Owner  
 Officer (give title below)  Other (specify below)

LOS GATOS, CA 95032

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Common Stock                    | 10/02/2017                           |  | M                              | 918 <sup>(1)</sup> A  | \$ 17.5671 7,930  | D  |   |
| Common Stock                    | 10/02/2017                           |  | S                              | 918 <sup>(1)</sup> D  | \$ 182.12 7,012   | D  |   |
| Common Stock                    | 10/02/2017                           |  | M                              | 82 <sup>(1)</sup> A   | \$ 16.2814 7,094  | D  |   |
| Common Stock                    | 10/02/2017                           |  | S                              | 82 <sup>(1)</sup> D   | \$ 182.12 7,012   | D  |   |
| Common Stock                    | 10/03/2017                           |  | M                              | 1,000 <sup>(1)</sup> A  | \$ 17.5671 8,012  | D  |   |
|                                 | 10/03/2017                           |  | S                              |   | \$ 177.65 7,012   | D  |   |

Edgar Filing: BARTON RICHARD N - Form 4

|                 |                     |        |   |  |                                |
|-----------------|---------------------|--------|---|--|--------------------------------|
| Common<br>Stock | 1,000<br><u>(1)</u> |        |   |  |                                |
| Common<br>Stock |                     | 20,000 | I |  | By<br>Foundation<br><u>(2)</u> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount<br>Underlying Security<br>(Instr. 3 and 4) |
|---|--|---|---|--------------------------------------|--|--|--|
|   |  |   |   | Code                                 | V (A) (D)  | Date<br>Exercisable    Expiration<br>Date                      | Title  |
| Non-Qualified<br>Stock Option<br>(right to buy)     | \$ 16.2814   | 10/02/2017                              |   | M                                    | 82 <u>(1)</u>  | 04/02/2012    04/02/2022                                       | Common<br>Stock  |
| Non-Qualified<br>Stock Option<br>(right to buy)     | \$ 17.5671   | 10/02/2017                              |   | M                                    | 918<br><u>(1)</u>  | 02/01/2012    02/01/2022                                       | Common<br>Stock  |
| Non-Qualified<br>Stock Option<br>(right to buy)     | \$ 17.5671   | 10/03/2017                              |   | M                                    | 1,000<br><u>(1)</u>  | 02/01/2012    02/01/2022                                       | Common<br>Stock  |
| Non-Qualified<br>Stock Option<br>(right to buy)     | \$ 177.01  | 10/02/2017                              |   | A                                    | 353  | 10/02/2017    10/02/2027                                       | Common<br>Stock  |

## Reporting Owners

| Reporting Owner Name / Address                                   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| BARTON RICHARD N<br>100 WINCHESTER CIRCLE<br>LOS GATOS, CA 95032 | X             |           |         |       |

## Signatures

By: Carole Payne, Authorized Signatory For: Richard N.  
Barton

10/03/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction made pursuant to duly adopted trading plan under Rule 10b5-1(c).
  - (2) As trustee of the Barton Family Foundation

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.