

ALLIANT ENERGY CORP
Form U-9C-3
November 16, 2001

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM U-9C-3

QUARTERLY REPORT

For the quarter ended September 30, 2001

Filed Pursuant to Rule 58 of the Public Utility Holding Company
Act of 1935

ALLIANT ENERGY CORPORATION
222 West Washington Avenue
Madison, Wisconsin 53703
608-252-3311

ALLIANT ENERGY CORPORATION
FORM U-9C-3

For the Quarter Ended September 30, 2001

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CONTENTS

ITEM 1 - Organization Chart

ITEM 2 - Issuances and Renewals of Securities and Capital Contributions

ITEM 3 - Associate Transactions

ITEM 4 - Summary of Aggregate Investment

ITEM 5 - Other Investments

ITEM 6 - Financial Statements and Exhibits

SIGNATURES

EXHIBIT A

2

ITEM 1 - ORGANIZATION CHART
(As of September 30, 2001)

| Name of Company (Organization Chart Hierarchy) (Reporting companies denoted with (**)) | Energy- or Gas-Related Company | Date of Organization | St Orga |
|--|--------------------------------------|-------------------------|------------|
| Alliant Energy Corporation | N/A | N/A | |
| Cargill-Alliant, L.L.C. (**) | Energy | 10/29/97 | Wi |
| Alliant Energy Resources, Inc. | N/A | N/A | |
| Alliant Energy Development, LLC | N/A | N/A | |
| Argo Power LLC (**) (*) | Energy | 6/5/00 | D |
| Henwood Energy Services, Inc. (**) | Energy | 8/9/85 | Ca |
| Nth Power Technologies Fund II, L.P. (**) | Energy | 2/25/00 | Ca |
| SmartEnergy, Inc. (**) | Energy | 11/3/00 | D |
| Alliant Energy Integrated Services Company | N/A | N/A | |
| Industrial Energy Applications, Inc. (**) | Energy | 9/22/83 | |
| BFC Gas Company L.C. (**) | Energy | 12/7/95 | |
| Energys, Inc. (**) | Energy | 6/25/84 | Wi |

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| | | | |
|---|--------|----------|------|
| RMT, Inc. (**) | Energy | 7/29/83 | Wi |
| Residuals Management Technology, Inc., Ohio (**) | Energy | 1/22/97 | |
| RMT North Carolina, Inc. (**) | Energy | 4/6/69 | Nort |
| RMT, Inc., Michigan (**) | Energy | 12/15/95 | M |
| RMT International, Inc. (**) | Energy | 10/9/97 | Wi |
| Lake Engineering, Inc. (**) | Energy | 9/2/82 | G |
| Heartland Energy Group, Inc. (**) | Energy | 6/1/95 | Wi |
| Industrial Energy Applications Delaware Inc. | N/A | N/A | |
| Oak Hill Pipeline L.P. (**) | Energy | 4/1/97 | |
| Alliant South Texas Pipeline, L.P. (**) (Also owned by Alliant Energy Field Services, LLC) | Energy | 4/20/99 | |
| Alliant Energy Desdemona, LP (**) (Also owned by Alliant Energy Field Services, LLC) | Energy | 2/14/00 | D |
| Bastian Bay Pipeline, LP (**) (Also owned by Alliant Energy Field Services, LLC) | Energy | 8/31/00 | |
| Alliant Energy Field Services, LLC | N/A | N/A | |
| Alliant South Texas Pipeline, L.P. (**) (Also owned by Industrial Energy Applications Delaware Inc.) | Energy | 4/20/99 | |
| Alliant Energy Desdemona, LP (**) (Also owned by Industrial Energy Applications Delaware Inc.) | Energy | 2/14/00 | D |
| Bastian Bay Pipeline, LP (**) (Also owned by Industrial Energy Applications Delaware Inc.) | Energy | 8/31/00 | |
| NG Energy Trading, LLC (**) | Energy | 9/26/00 | O |
| Alliant Energy Integrated Services - Energy Solutions LLC (**) | Energy | 1/1/01 | |
| Schedin & Associates, Inc. (**) | Energy | 6/22/82 | Mi |
| SVBK Consulting Group, Inc. (**) | Energy | 7/2/85 | F |
| 3 | | | |
| Alliant Energy Integrated Services - Energy Management LLC (**) | Energy | 1/1/01 | |
| Enermetrix.com, Inc. (**) | Energy | 1/17/95 | D |

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| | | | |
|---|--------|----------|------|
| Energy Performance Services, Inc. (**) | Energy | 12/17/93 | Pen |
| Cogenex Corporation (**) | Energy | 9/26/83 | Mass |
| APS Cogenex LLC (**) | Energy | 9/29/95 | D |
| Energy Capital and Services I, LP (**) | Energy | 5/4/90 | Mass |
| Energy Capital and Services II, LP (**) | Energy | 11/13/90 | Mass |
| Energy Holdings Corporation (**) | Energy | 10/3/94 | D |
| Cogenex West Corporation (**) | Energy | 9/26/83 | Mass |
| EUA Cogenex-Canada Inc. (**) | Energy | 10/11/94 | |
| EUA Cogenex-Canada Energy Services, Inc. (**) | Energy | 12/16/97 | |
| AGRA Cogenex Joint Venture (**) | Energy | 7/8/97 | |
| Northeast Energy Management, Inc. (**) | Energy | 1/7/94 | Mass |
| Alliant Energy Investments, Inc. | N/A | N/A | |
| Heartland Energy Services, Inc. | N/A | N/A | |
| ReGENco L.L.C. (**) | Energy | 4/19/99 | Wi |
| Alliant Energy Transportation, Inc. | N/A | N/A | |
| Williams Bulk Transfer Inc. (**) | Energy | 4/27/99 | |

N/A - Not applicable

(a) The following abbreviations were used:

| Abbreviation | Nature of Business |
|--------------|---|
| (i) | Energy management services |
| (ii) | Development and commercialization of innovative electrotechnologies |
| (v) - 1 | Brokering and marketing of energy commodities - natural gas |
| (v) - 2 | Brokering and marketing of energy commodities - electricity |
| (v) - 3 | Brokering and marketing of energy commodities - natural gas and electricity |
| (vi) | Production of alternative fuels |
| (vii) - 1 | Environmental licensing, testing, and remediation services |
| (vii) - 2 | Integrated turbine and generator services |
| (ix) - 1 | Fuel transportation facilities services |
| (ix) - 2 | Fuel handling and storage facilities services |

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4

ITEM 2 - ISSUANCES AND RENEWALS OF SECURITIES AND CAPITAL CONTRIBUTIONS:
Investment level at September 30, 2001

| Company Issuing Security | Type of Security Issued | Principal Amount of Security (in thousands) | Who |
|--|-------------------------|---|-------------------------------|
| Alliant Energy Desdemona, LP | Partnership capital | \$1,668 | Industrial Energy |
| | Partnership capital | \$1 | Alliant Energy |
| Alliant Energy Integrated Services - Energy Management LLC | Money pool borrowings | \$12,940 | Alliant Energy |
| Alliant Energy Integrated Services - Energy Solutions LLC | Money pool borrowings | \$1,428 | Alliant Energy |
| Alliant South Texas Pipeline, L.P. | Partnership capital | \$6,140 | Industrial Energy |
| | Partnership capital | \$9 | Alliant Energy |
| Bastian Bay Pipeline, LP | Partnership capital | \$136 | Industrial Energy |
| BFC Gas Company L.C. | Capital | \$2,600 | Industrial Energy |
| Cargill-Alliant, L.L.C. | Capital | \$5,000 | Alliant Energy |
| Cogenex Corporation (Incl. subsidiaries) | Money pool borrowings | \$66,472 | Alliant Energy |
| Energys, Inc. | Capital | \$8,685 | Industrial Energy |
| | Money pool borrowings | \$712 | Alliant Energy |
| Energy Performance Services, Inc. | Money pool borrowings | \$18,818 | Alliant Energy |
| Enermetrix.com, Inc. | Preferred stock | \$10,040 | Alliant Energy Management LLC |
| Heartland Energy Group, Inc. | Common stock | \$5,011 | Alliant Energy |
| | Money Pool Borrowings | \$8,969 | Alliant Energy |
| Henwood Energy Services, Inc. | Common stock | \$1,342 | Alliant Energy |
| Industrial Energy Applications, Inc. | Common stock | \$21,060 | Alliant Energy |
| | Money pool borrowings | \$28,853 | Alliant Energy |
| Lake Engineering, Inc. | Capital | \$2,650 | RMT, Inc. (1) |
| NG Energy Trading, LLC | Capital | \$2,250 | Heartland Energy |
| Nth Power Technologies Fund II, L.P. | Partnership capital | \$5,000 | Alliant Energy |
| Oak Hill Pipeline L.P. | Partnership capital | \$3,913 | Industrial Energy |
| ReGENco L.L.C. | Class A Units | \$1,083 | Heartland Energy |
| | Class B Units | \$667 | Heartland Energy |
| RMT, Inc. (Incl. all subsidiaries except Lake Engineering, Inc.) | Common stock | \$11,822 | Alliant Energy |
| Schedin & Associates, Inc. | Money pool borrowings | \$1,006 | Alliant Energy |
| SmartEnergy, Inc. | Preferred stock | \$6,500 | Alliant Energy |
| | Bridge Loan | \$11,265 | Alliant Energy |
| SVBK Consulting Group, Inc. | Common stock | \$1 | Alliant Energy Solutions LLC |
| | Money pool borrowings | \$1,814 | Alliant Energy |
| Williams Bulk Transfer Inc. | Common stock | \$1 | Alliant Energy |
| | Money pool borrowings | \$4,787 | Alliant Energy |

(1) Associate company.

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ITEM 3 - ASSOCIATE TRANSACTIONS

For the Quarter Ended September 30, 2001

Part I -- Transactions performed by reporting companies on behalf of associate companies (a):

| Reporting Company Rendering Services | Associate Company Receiving Services | Types of Services Rendered | Direct Costs Charged (in thousands) | Indirect Costs Charged (in thousands) |
|--------------------------------------|--------------------------------------|----------------------------|-------------------------------------|---------------------------------------|
| RMT | WP&L | Environmental consulting | \$71 | \$- |
| RMT | IEA | Environmental consulting | 76 | - |
| RMT | IESU | Environmental consulting | 2 | - |
| RMT | Barge | Environmental consulting | 5 | - |
| RMT | Resources | Environmental consulting | 95 | - |
| Williams | Cargill-Alliant | Coal handling | 184 | - |

Part II -- Transactions performed by associate companies on behalf of reporting companies (a):

| Associate Company Rendering Services | Reporting Company Receiving Services | Types of Services Rendered | Direct Costs Charged (in thousands) | Indirect Costs Charged (in thousands) |
|--------------------------------------|--------------------------------------|----------------------------|-------------------------------------|---------------------------------------|
| RMT | IEA | Environmental consulting | \$76 | \$- |
| Williams | Cargill-Alliant | Coal handling | 184 | - |

(a) The following abbreviations were used:

| Abbreviation | Legal Name | Abbreviation | Legal Name |
|-----------------|--------------------------------------|--------------|-----------------|
| Barge | IEI Barge Services, Inc. | Resources | Alliant Energy |
| Cargill-Alliant | Cargill-Alliant, L.L.C. | RMT | RMT, Inc. |
| IEA | Industrial Energy Applications, Inc. | Williams | Williams Bulk |
| IESU | IES Utilities Inc. | WP&L | Wisconsin Power |

ITEM 4 - SUMMARY OF AGGREGATE INVESTMENT
(Dollars in thousands)

Investments in energy-related companies:

| | |
|--|----------|
| Total consolidated capitalization as of September 30, 2001 (a) | \$4,447, |
| Total capitalization multiplied by 15% (Line 1 multiplied by 0.15) | 667, |
| Greater of \$50 million or total capitalization multiplied by 15% (Line 2) | 667, |
| Total current aggregate investment subsequent to April 21, 1998 (categorized by major line of energy-related business): | |
| Energy-related business category i | 114, |
| Energy-related business category ii | 10, |
| Energy-related business category iii | |
| Energy-related business category iv | |
| Energy-related business category v | 40, |
| Energy-related business category vi | |
| Energy-related business category vii | 5, |
| Energy-related business category viii | |
| Energy-related business category ix | 12, |
| Energy-related business category x | |
| Total current aggregate investment | 183, |
| Difference between the greater of \$50 million or 15% of capitalization and the total aggregate investment of the registered holding company system (Line 3 less Line 4) | \$483, |

Investments in gas-related companies:

| | |
|---|-----|
| Total current aggregate investment (categorized by major line of gas-related business): | |
| Gas-related business category i | \$- |
| Gas-related business category ii | - |
| Total current aggregate investment | \$- |

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(a) Includes common equity, cumulative preferred stock of subsidiaries, long-term debt, current maturities and sinking funds and short-term debt (variable rate demand bonds, commercial paper, notes payable and other short-term borrowings).

7

ITEM 5 - OTHER INVESTMENTS (Dollars in thousands)

| Major Line Of Energy-Related Business | Other Investment In Last U-9C-3 Report | Other Investment In This U-9C-3 Report | Reason For Change In |
|--|---|---|--|
| Energy-related business category i* | | | |
| Henwood Energy Services, Inc. | \$1,342 | \$1,342 | No change. |
| Industrial Energy Applications, Inc. | 47,133 | 47,133 | No change. |
| Energy-related business category v* | | | |
| Cargill-Alliant, L.L.C. | \$5,000 | \$5,000 | No change. |
| Heartland Energy Group, Inc. | 3,289 | 3,289 | No change. |
| Energy-related business category vi* | | | |
| BFC Gas Company L.C. | \$2,569 | \$2,569 | No change. |
| Energy-related business category vii* | | | |
| RMT, Inc. (including all Subsidiaries except Lake Engineering, Inc.) | \$11,822 | \$11,822 | No change. |
| Energy-related business category ix* | | | |
| Oak Hill Pipeline L.P. | \$4,259 | \$3,913 | In the third quarter L.P. made a distribution Energy Application |

* Item 5 includes aggregate investments that were invested or committed to be invested in energy-related companies, prior to the date Rule 58 was effective for Alliant Energy Corporation (April 21, 1998 - Date Alliant Energy Corporation became a registered holding company), for which there is recourse, directly or indirectly, to Alliant Energy Corporation or any subsidiary thereof.

ITEM 6 - FINANCIAL STATEMENTS AND EXHIBITS

List all financial statements and exhibits filed as a part of this report.

A. FINANCIAL STATEMENTS:

Filed under confidential treatment pursuant to Rule 104 (b).

B. EXHIBITS:

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1. Exhibit A - Certificate of Alliant Energy Corporation
2. Copies of contracts required by Item 3:
None

SIGNATURES

Pursuant to the requirements of the Public Utility Holding Company Act of 1935, Alliant Energy Corporation has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized on the 16th day of November 2001.

ALLIANT ENERGY CORPORATION

Registrant

By: /s/ John E. Kratchmer Corporate Controller and Chief Accounting Officer
----- (Principal Accounting Officer)
John E. Kratchmer

8

Exhibit A

ALLIANT ENERGY CORPORATION
222 West Washington Avenue, Madison, Wisconsin 53703

CERTIFICATE

Pursuant to the requirements of Rule 58 of the Public Utility Holding Company Act of 1935, the undersigned certifies that a conformed copy of the quarterly report on Form U-9C-3 shall be filed with the interested state commissions promptly after filing with the SEC. The names and addresses of each state commission having jurisdiction over the retail rates of the public utility companies that are associate companies of any of the reporting companies are:

Iowa Utilities Board
350 Maple Street
Des Moines, Iowa 50319-0069

Illinois Commerce Commission
P.O. Box 19280
Springfield, Illinois 62794-9280

Minnesota Public Utilities Commission
121 7th Place E. Suite 350
St. Paul, MN 55101-2147

Public Service Commission of Wisconsin
610 North Whitney Way, P.O. Box 7854
Madison, Wisconsin 53707-7854

ALLIANT ENERGY CORPORATION

Registrant

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By: /s/ John E. Kratchmer Corporate Controller and Chief Accounting Officer

John E. Kratchmer (Principal Accounting Officer)