

THINKORSWIM GROUP INC.  
Form 8-K  
October 31, 2008  
[Table of Contents](#)

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

**FORM 8-K**

**CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): **October 30, 2008**

**thinkorswim Group Inc.**

(Exact Name of registrant as specified in its charter)

**Delaware**  
(State or other jurisdiction  
of incorporation)

**000-52012**  
(Commission  
File Number)

**76-0685039**  
(IRS Employer  
Identification No.)

**45 Rockefeller Plaza, Suite 2012, New York, NY**  
(Address of principal executive offices)

**10111**  
(Zip Code)

Registrant's telephone number, including area code: **(801) 816-6918**

Edgar Filing: THINKORSWIM GROUP INC. - Form 8-K

**Not applicable**

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- o Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
  
  - o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
  
  - o Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
  
  - o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
-

Table of Contents

**Table of Contents**

8-K	
<u>Item 2.02</u>	<u>Results of Operations and Financial Condition</u>
<u>Item 9.01</u>	<u>Financial Statements and Exhibits</u>
<u>Signatures</u>	
EX-99.1	(EX99-1)
EX-99.2	(EX99-2)

Table of Contents

**Item 2.02. Results of Operations and Financial Condition.**

On October 30, 2008, thinkorswim Group Inc. (the Company ) issued a press release announcing its financial results for the three and nine months ended September 30, 2008. A copy of the press release is furnished as Exhibit 99.1. to this report and is incorporated herein by reference.

The Company also hosted its earnings conference call and webcast on October 30, 2008. Via the webcast, the Company presented an earnings presentation, which contained a summary of our financial results for the three and nine months ended September 30, 2008. A copy of such presentation is furnished as Exhibit 99.2 to this report and is incorporated herein by reference. The presentation will be posted on our website, www.thinkorswim.com, through at least December 31, 2008.

The information in this Item 2.02, including the exhibits, shall not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the Exchange Act ), or incorporated by reference in any filing under the Securities Act of 1933, as amended or the Exchange Act, except as shall be expressly set forth by specific reference in such filing.

**Item 9.01. Financial Statements and Exhibits.**

(d) Exhibits

<b>Exhibit Number</b>	<b>Exhibit Title</b>
99.1	Press Release dated October 30, 2008.
99.2	Third quarter 2008 Earnings Presentation dated October 30, 2008.

Table of Contents

**Signatures**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: October 31, 2008

thinkorswim Group Inc.

By:

/s/ Ida K. Kane  
Ida K. Kane  
Senior Vice President and  
Chief Financial Officer