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CTS CORP Form 144 December 03, 2015

CTS Corporation Pension Plan

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

SEC USE ONLY DOCUMENT SEQUENCE

#### NO.

Elkhart

#### **FORM 144**

### NOTICE OF PROPOSED SALE OF SECURITIES PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

Affiliate (1)

**CUSIP NUMBER** 

Indiana

46514

ATTENTION: Transmit for filing 3 copies of this form concurrently with either placing an order with a broker to execute sale or executing a sale directly with a market maker. 1 (a) NAME OF ISSUER (Please type or print) (b) IRS IDENT. NO. (c) S.E.C. FILE NO. WORK LOCATION 35-0225010 001-04639 CTS Corporation ADDRESS OF STREET STATE ZIP CODE CITY 1(d)**ISSUER** (e) TELEPHONE NO. **AREA** 1142 West Beardsley Avenue Elkhart Indiana 46514 CODE NUMBER 523-3800 574 NAME OF PERSON FOR WHOSE (b)RELATIONSHIP TO (c)ADDRESS STREET 2(a)CITY STATE ZIP CODE ACCOUNT THE SECURITIES ARE ISSUER TO BE SOLD

INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.

3(a)(b) **SEC USE** (c)(d) (e) (f) (g) ONLY Name and Address Number of Number of of Each Broker Broker-Dealer Shares Shares Name of Title of Through Whom File Number or Other Aggregate or Other Each the the Securities are to **Approximate** Units Market Units Securities Class of be Offered or Each **Date of Sale** Exchange To Be Sold Value Outstanding Securities Market Maker who (See instr. 3(f)) (See instr. (See instr. (See instr. (See instr. To Be is Acquiring the Securities (MO. DAY YR.) Sold 3(d)) 3(g)3(c)3(e)) Common Stock, Sidoti & Company, LLC 160,000 \$2,990,400 (2) 32,637,797 (3) New York Stock (4)without par value Exchange

> 122 E 42nd Street New York, New York 10168

#### **INSTRUCTIONS:**

- 1.(a) Name of issuer
- (b) Issuer s I.R.S. Identification Number
- (c) Issuer s S.E.C. file number, if any
- (d) Issuer s address, including zip code
- (e) Issuer s telephone number, including area
- 2.(a) Name of person for whose account the securities are to be sold
- 3.(a) Title of the class of securities to be sold
  - (b) Name and address of each broker through whom the securities are intended to be sold
  - (c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)
  - (d) Aggregate market value of the securities to be sold as of a specified date within 10 days prior to the filing of this notice

1142 West Beardsley Avenue

- (e) Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer
- (f) Approximate date on which the securities are to be sold
- (g) Name of each securities exchange, if any, on which the securities are intended to be sold

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- (b) Such person s relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)
- (c) Such person s address, including zip code

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1147 (08-07)

#### TABLE I SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Title of the Class Common Stock, without par value	Date you Acquired	Nature of Acquisition Transaction	Name of Person from Whom Acquired (If gift, also give date donor acquired)	Amount of Securities Acquired	Date of Payment	Nature of Payment
	(5)	(5)	CTS Corporation (5)	1,458,900	(5)	(5)
INSTRUCTIONS	made in c note there considera payment v state when	urities were purchased and full cash at the time of purchase, expeto the nature of the consideratition consisted of any note or ot was made in installments descripted note or other obligation wastallment paid.	plain in the table or in a on given. If the ther obligation, or if ibe the arrangement and			

#### TABLE II SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

			Amount of		
Name and Address of Seller	Title of Securities Sold	Date of Sale	Securities Sold	Gross Proceeds	
N/A					

#### **REMARKS:**

- (1) Ashish Agrawal, the Vice President and Chief Financial Officer of CTS Corporation (the Issuer ), serves as the Named Administrator of the CTS Corporation Pension Plan (the Plan ).
- (2) Based on a sale price of \$18.69 per share, which was the closing price of the common stock on the New York Stock Exchange on November 24, 2015.
- (3) Based on shares outstanding as of October 20, 2015, as reported on the Issuer s Form 10-Q for the fiscal quarter ended September 27, 2015.
- (4) The proposed transaction will be completed pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on November 25, 2015.
- (5) Common stock was acquired by the Plan directly from the Issuer throughout the life of the Plan.

#### **INSTRUCTIONS:**

# See the definition of person in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by

#### ATTENTION:

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly

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paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

11/30/2015 DATE OF NOTICE \*\*\*/s/ Ashish Agrawal, as Named Administrator of the Plan (SIGNATURE)

 $\frac{11/30/2015}{\text{DATE OF PLAN ADOPTION OR GIVING OF INSTRUCTION, IF}}$  RELYING ON RULE 10B5-1

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed. Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)

SEC 1147 (02-08)