

KAUFMAN IVAN  
Form 5  
February 12, 2019

**FORM 5**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box if  
no longer subject  
to Section 16.  
Form 4 or Form  
5 obligations  
may continue.  
See Instruction  
1(b).  
Form 3 Holdings  
Reported  
Form 4  
Transactions  
Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL  
OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0362  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 1.0

1. Name and Address of Reporting Person \*  
KAUFMAN IVAN

(Last) (First) (Middle)

C/O ARBOR REALTY TRUST,  
INC., 333 EARLE OVINGTON  
BLVD., STE. 900

(Street)

2. Issuer Name and Ticker or Trading  
Symbol  
ARBOR REALTY TRUST INC  
[ABR]

3. Statement for Issuer's Fiscal Year Ended  
(Month/Day/Year)  
12/31/2018

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☒ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)

COB, CEO and President

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

UNIONDALE, NY 11553

☒ Form Filed by One Reporting Person  
☐ Form Filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3)                                        | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned at end<br>of Issuer's<br>Fiscal Year<br>(Instr. 3 and<br>4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|------------------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
| Special<br>Voting<br>Preferred<br>Stock, par<br>value<br>\$0.01 per<br>share | 12/14/2018                              | Â                                                           | G <sup>(1)</sup>                        | 125,000 D                                                               | \$ 0<br>(1) 75,000                                                                                              | D                                                                       | Â                                                                 |

|                                                            |   |   |   |   |   |                   |   |                                   |
|------------------------------------------------------------|---|---|---|---|---|-------------------|---|-----------------------------------|
| Special Voting Preferred Stock, par value \$0.01 per share | Â | Â | Â | Â | Â | 14,739,559<br>(2) | I | By Arbor Commercial Mortgage, LLC |
| Special Voting Preferred Stock, par value \$0.01 per share | Â | Â | Â | Â | Â | 38,610            | I | By Arbor Management, LLC          |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 2270  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------------|
|                                            |                                                        |                                      |                                                    |                                | (A) (D)                                                                                 | Date Exercisable Expiration Date                         | Title Amount Number Shares                                  |
| Partnership Common Units                   | Â                                                      | 12/14/2018                           | Â                                                  | G <sup>(1)</sup>               | Â 125,000                                                                               | 12/14/2018 Â <sup>(4)</sup>                              | Common Stock, par value \$0.01 per share 125                |
| Partnership Common Units                   | Â                                                      | Â                                    | Â                                                  | Â                              | Â Â                                                                                     | Â <sup>(4)</sup> Â <sup>(4)</sup>                        | Not applicable <sup>(4)</sup>                               |
| Partnership Common Units                   | Â                                                      | Â                                    | Â                                                  | Â                              | Â Â                                                                                     | Â <sup>(4)</sup> Â <sup>(4)</sup>                        | Not applicable <sup>(4)</sup>                               |

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer

Other

KAUFMAN IVAN

C/O ARBOR REALTY TRUST, INC.

333 EARLE OVINGTON BLVD., STE. 900

UNIONDALE, NY 11553

Â X

Â X

Â COB, CEO and President Â

## Signatures

/s/ Ivan

02/12/2019

Kaufman

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) In connection with estate planning, on December 14, 2018, Mr. Kaufman donated 125,000 Partnership Common Units of Arbor Realty Limited Partnership and Special Voting Preferred Shares to a donor - advised fund.

(2) Mr. Kaufman disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein.

Pursuant to the Partnership Agreement of Arbor Realty Limited Partnership, as amended and restated as of July 14, 2016, each Partnership Common Unit is redeemable at the election of the holder for the cash value of one share of Company's common stock, par value \$0.01 per share (the "Common Stock"), which value is generally determined by the average of the daily closing prices for ten (10) consecutive trading days immediately preceding the date of the holder's election of redemption. In lieu of paying a redeeming holder this cash amount, the Company may elect to issue one share of Common Stock for each Partnership Common Unit surrendered for redemption.

(4) Not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.