Edgar Filing: GUIDED THERAPEUTICS INC - Form 4

GUIDED THERAPEUTICS INC

Form 4 July 17, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

Check this box if no longer

subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

3235-0287 Number: January 31, Expires: 2005

0.5

OMB APPROVAL

Estimated average burden hours per

response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person *

Imhoff John E

(First)

(Street)

(State)

3215 SHRINE ROAD, SUITE 6

2. Issuer Name and Ticker or Trading

Symbol

5. Relationship of Reporting Person(s) to Issuer

GUIDED THERAPEUTICS INC [GTHP]

(Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year) 05/29/2015

X_ Director X__ 10% Owner Other (specify Officer (give title

(Check all applicable)

below)

4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line)

X Form filed by One Reporting Person _ Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

BRUNSWICK, GA 31520

		14.51	CI 11011 D	CII vaci ve sv	· · · · · · · · · · · · · · · · · · ·	cs rreq	an ea, Disposea o	i, or Denemenar	ij O wilcu
1.Title of	2. Transaction Date		3.	4. Securitie			5. Amount of	6. Ownership	
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of (D)			Securities	Form: Direct	Indirect	
(Instr. 3)		any	Code	Code (Instr. 3, 4 and 5)			Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)				Owned	Indirect (I)	Ownership
			` '				Following	(Instr. 4)	(Instr. 4)
							Reported	`	· ·
					(A)		Transaction(s)		
					or		(Instr. 3 and 4)		
			Code V	Amount	(D)	Price	(msu. 5 and 1)		
Common Stock	06/29/2015		P	18,752	A	<u>(1)</u>	9,904,725	D	
Common Stock	06/30/2015		A	113,636	A	<u>(2)</u>	9,885,973	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: GUIDED THERAPEUTICS INC - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of Derivative TransactionSecurities Acquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Underlying (Instr. 3 an	
	Security						Date Exercisable	Expiration Date	Title
Options	\$ 0.1	05/29/2015		Code V A	(A) 600,000	(D)	05/29/2015	05/28/2025	Common Stock
Tranche A Warrants	\$ 1.08	06/19/2015		S		367,647	05/22/2013	05/22/2018	Common Stock
Tranche B Warrants	\$ 0.104	06/19/2015		S		3,797,789	05/22/2013	05/22/2018	Common Stock
Tranche A Warrants	\$ 0.104	06/19/2015		P	367,647		05/22/2013	05/22/2018	Common Stock
Tranche B Warrants	\$ 0.09	06/19/2015		P	3,797,789		05/22/2013	05/22/2018	Common Stock
Warrants	\$ 0.225	06/30/2015		S		58,935	12/02/2014	12/02/2019	Common Stock
Warrants	\$ 0.11	06/30/2015		P	58,935		12/02/2015	12/02/2020	Common Stock
Warrants	\$ 0.09	06/30/2015		P	58,935		12/02/2015	12/02/2020	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships					
1 8	Director	10% Owner	Officer	Other		
Imhoff John E 3215 SHRINE ROAD SUITE 6 BRUNSWICK, GA 31520	X	X				

Signatures

/S/ Gene Cartwright - Attorney In Fact	07/17/2015		
**Signature of Reporting Person	Date		

Reporting Owners 2

Edgar Filing: GUIDED THERAPEUTICS INC - Form 4

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On June 30, 2015, the reporting person disposed of warrants to purchase common stock in exchange for new warrants to purchase common stock and shares of common stock in an exchange with the issuer.
- (2) These shares were granted as dividend on the issuer's Series B preferred stock.
- These options to purchase common stock were granted under the Guided Therapeutics, Inc. 1995 Stock Plan as amended; as 2015 director's fees, in lieu of cash. The options are 100% vested.
- On June 19, 2015, the reporting person disposed of warrants to purchase common stock in exchange for new warrants to purchase common stock in an exchange with the issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.