## Edgar Filing: RELIANCE STEEL & ALUMINUM CO - Form 4

### RELIANCE STEEL & ALUMINUM CO

Form 4

Stock

Stock

Stock

Common

Common

10/29/2015

November 02, 2015

#### **OMB APPROVAL** UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Lewis Karla R Issuer Symbol **RELIANCE STEEL & ALUMINUM** (Check all applicable) CO [RS] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) 350 SOUTH GRAND 10/29/2015 Sr. EVP & CFO **AVENUE, SUITE 5100** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Applicable Line) Filed(Month/Day/Year) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting LOS ANGELES, CA 90071 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 5. Amount of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership **Following** or Indirect (Instr. 4) Reported (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Price (D) Amount Common 10/29/2015 M \$ 33.7 $78,375 \frac{(1)}{}$ 20,000 Α D Stock Common 10/29/2015 S 19,300 D 59.65 59,075 (1) D

S

700

(2) \$

(3)

D

60.37

58,375 (1)

7,545

D

I

Held by Trustee of

## Edgar Filing: RELIANCE STEEL & ALUMINUM CO - Form 4

Reliance Steel & Aluminum Co. Employee Stock Ownership Plan Held by Trustee of Reliance Steel & Aluminum

Common Stock

183 Ι Co. 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Options to Acquire Common	\$ 33.7	10/29/2015		M	20,000	<u>(4)</u>	04/27/2016	Common Stock	20,000

# **Reporting Owners**

Stock

Reporting Owner Name / Address	Relationships					
1 0	Director	10% Owner	Officer	Other		
Lewis Karla R			Sr.			
350 SOUTH GRAND AVENUE			EVP &			

Reporting Owners 2

## Edgar Filing: RELIANCE STEEL & ALUMINUM CO - Form 4

SUITE 5100 CFO LOS ANGELES, CA 90071

# **Signatures**

/s/ Karla R. Lewis by William A. Smith II as her Attorney-in-Fact

11/02/2015

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 1,500 restricted shares subject to vesting over time and 8,800 unvested restricted stock units subject to service criteria.
- The price reported represents the weighted average sale price of the shares disposed of. The actual sale prices ranged from \$59.24 to

  (2) \$60.23. The Reporting Person has provided to the Issuer, and hereby undertakes to provide upon request by the U.S. Securities and Exchange Commission staff or a security holder of the Issuer, full information regarding the number of shares sold at each separate price.
- The price reported represents the weighted average sale price of the shares disposed of. The actual sale prices ranged from \$60.26 to \$60.54. The Reporting Person has provided to the Issuer, and hereby undertakes to provide upon request by the U.S. Securities and Exchange Commission staff or a security holder of the Issuer, full information regarding the number of shares sold at each separate price.
- (4) The options vested and became exercisable in four equal annual installments beginning on April 27, 2010, which was the first anniversary of the date on which the options were granted.
- In the aggregate, the Reporting Person beneficially owns 80,000 options to acquire common stock (with various exercise prices and expiration dates) as of the date of this report. In addition, the Reporting Person beneficially owns 32,000 restricted stock units subject to performance and service criteria.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3