Edgar Filing: RELIANCE STEEL & ALUMINUM CO - Form 4

RELIANCE STEEL & ALUMINUM CO

Form 4

January 05, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction 1(b).

obligations

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person ** Koch Stephen Paul			2. Issue Symbol	r Name an	d Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer				
			RELIA CO [RS		EEL & ALUMINUM	(Check all applicable)				
	(Last)	(First) (I	Middle)	3. Date o	f Earliest T	Transaction	Director		0% Owner	
				`	Day/Year)		_X_ Officer (gives below)	below)	ther (specify	
590 N. BETHLEHEM PIKE (Street)			12/31/2	2015		Sr. VP, Operations				
			4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check				
				Filed(Month/Day/Year)			Applicable Line)			
							X Form filed by One Reporting Person Form filed by More than One Reporting			
LOWER GWYNEDD, PA 19002						Person	More than One	Reporting		
	(City)	(State)	(Zip)	Tab	le I - Non-	Derivative Securities Acq	uired, Disposed	of, or Benefic	ially Owned	
	1.Title of	2. Transaction Date	2A. Deen	ned	3.	4. Securities Acquired	5. Amount of	6.	7. Nature o	
	Security	(Month/Day/Year)	Execution	Date, if	Transacti	or(A) or Disposed of (D)	Securities	Ownership	Indirect	
	(Instr. 3)		any		Code	(Instr. 3, 4 and 5)	Beneficially	Form:	Beneficial	

1.11ttle of	2. Transaction Date	ZA. Deemed	3.	4. Securi	ties A	cquirea	5. Amount of	0.	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	ransaction(A) or Disposed of (D)		Securities	Ownership	Indirect	
(Instr. 3)		any	Code	(Instr. 3, 4 and 5)		Beneficially	Form:	Beneficial	
		(Month/Day/Year)	(Instr. 8)				Owned	Direct (D)	Ownership
							Following	or Indirect	(Instr. 4)
							Reported	(I)	
					(A)		Transaction(s)	(Instr. 4)	
			G 1 W		or	ъ.	(Instr. 3 and 4)		
			Code V	Amount	(D)	Price	· ·		
Common	12/31/2015		M	2,000	Α	<u>(1)</u>	24,179 (2)	D	
Stock	12/31/2013		1V1	2,000	А	117	24,179 <u>~</u>	D	
Common	12/31/2015		F	630	D	\$ 57.91	23,549 (2)	D	
Stock	12/31/2013		1	030	ע	57.91	23,349 (-)	D	
									Held by
									Trustee of
									Reliance
Common							000	•	
Stock							989	1	Steel &

Plan

Aluminum Co. 401(k)

OMB APPROVAL

3235-0287

January 31,

2005

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Common Stock	426 I	Held by Trustee of Reliance Steel & Aluminum Co. Employee Stock Ownership Plan
Reminder: Report on a separate line for each class of securities benefici	Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.	SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	SA. Deemed Execution Date, if any (Month/Day/Year)	Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4,		6. Date Exercisable and Expiration Date (Month/Day/Year)		Underlying Securities (Instr. 3 and 4)		8. Pr Deri Secu (Inst
				Code V	and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(1)</u>	12/31/2015		M	2,000	(3)	(3)	Common Stock	2,000	9

Reporting Owners

Reporting Owner Name / Address	Relationships						
Troporting of the Francisco	Director	10% Owner	Officer	Other			
Koch Stephen Paul 590 N. BETHLEHEM PIKE LOWER GWYNEDD, PA 19002			Sr. VP, Operations				
Signatures							

/s/ Stephen Paul Koch by William A. Smith II as his Attorney-in-Fact

01/05/2016

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**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of RS common stock and certain dividend equivalents.
- (2) Includes 1,000 restricted shares subject to vesting over time and 6,800 unvested restricted stock units subject to service criteria.
- (3) 2,000 restricted stock units vested on December 31, 2015. The remaining 8,000 restricted stock units reported on this line are subject to performance criteria and all or a portion shall vest on or before March 15, 2016.
- (4) In addition, the Reporting Person beneficially owns 10,000 restricted stock units subject to performance and service criteria and 6,250 options to acquire common stock as of the date of this report.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3