Edgar Filing: FUEL TECH N V - Form 4

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| FUEL TECH N Form 4 | | | | | | | | | | | |
|--|---|--|---|--------------------|---|------------------|---|--|--|--|--|
| FORM | Л | | | | | | | | | - 3235-028 | |
| Check this b if no longer subject to Section 16. Form 4 or Form 5 obligations may continu- <i>See</i> Instruction 1(b). | STATI Filed p e. Section 1 | Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | Expires:January 31 2005Estimated average burden hours per response0.5 | |
| (Print or Type Resp | ponses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> BAILEY DOUGLAS G | | | 2. Issuer Name and Ticker or Trading Symbol FUEL TECH N V [FTEK] | | | | g | 5. Relationship of Reporting Person(s) to Issuer | | | |
| (Last) (First) (Middle) C/O FUEL TECH, 695 E. MAIN STREET | | | 3. Date of Earliest Transaction(Month/Day/Year)12/06/2005 | | | | | (Check all applicable) X Director 10% Owner X Officer (give title Other (specify below) below) Vice Chairman | | | |
| | (Street) | | Filed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | |
| STAMFORD, | CT 06901 | | | | | | | Person | More than One Ro | eporung | |
| (City) | (State) | (Zip) | Table | e I - Non-Do | erivative S | ecurit | ies Ac | quired, Disposed o | f, or Beneficia | lly Owned | |
| | . Transaction I Month/Day/Ye | ear) Execution any | | Code (Instr. 8) | 4. Securit onAcquired Disposed (Instr. 3, 4) | (A) or of (D) |) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| Common Stock | | | | | | | | 246,792 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactiv Code (Instr. 8) | 5. Numbe orDerivative Securities Acquired or Dispose (D) (Instr. 3, 4 and 5) | e (A) ed of | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|---|-------------------|--|--------------------|---|--------------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount o Number o Shares |
| Common Option | \$ 8.46 | 12/06/2005 | | А | 12,500 | | 12/06/2007 | 12/06/2015 | Common | 12,500 |
| Common Warrant | \$ 1.75 | | | | | | 04/30/1998 | 04/30/2008 | Common | 387,500 |
| Common Option | \$ 1.531 | | | | | | 07/17/1998 | 07/17/2008 | Common | 10,000 |
| Common Option | \$ 2.125 | | | | | | 06/28/1999 | 06/28/2009 | Common | 10,000 |
| Common Option | \$ 2.344 | | | | | | 06/26/2000 | 06/26/2010 | Common | 10,000 |
| Common Option | \$ 3.595 | | | | | | 06/13/2001 | 06/13/2011 | Common | 10,000 |
| Common Option | \$ 6.265 | | | | | | 06/16/2002 | 06/16/2012 | Common | 10,000 |
| Common Option | \$ 4.195 | | | | | | 05/29/2003 | 05/29/2013 | Common | 10,000 |
| Common Option | \$ 3.8 | | | | | | 12/09/2005 | 12/09/2013 | Common | 40,000 |
| Common Option | \$ 4.68 | | | | | | 12/07/2006 | 12/07/2014 | Common | 15,000 |

Reporting Owners

| Reporting Owner Name / Addı | 'ess | Relationships | | | | | | | |
|---|------------|---------------|---------------|-------|--|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | | |
| BAILEY DOUGLAS G C/O FUEL TECH 695 E. MAIN STREET STAMFORD, CT 06901 | х | | Vice Chairman | | | | | | |
| Signatures | | | | | | | | | |
| Douglas G. | | | | | | | | | |
| Bailey | 12/08/2005 | | | | | | | | |
| | Date | | | | | | | | |

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<u>**</u>Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.