Edgar Filing: CLOUD SANFORD JR - Form 4

CLOUD SAN	NFORD JR										
Form 4											
January 05, 2	012										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								OMB APPROVAL			
	UNITED STATES SECURITIES AND EXCHANGE COMMISS Washington, D.C. 20549					COMMISSION	OMB Number:	3235-0287			
Check thi				0 /					Expires:	January 31,	
if no long subject to	er STAT	EMENT O	F CHAN	CHANGES IN BENEFICIAL OW				NERSHIP OF		2005	
Section 16. SE				SECUR	ECURITIES				Estimated average burden hours per		
Form 4 or	Form 4 or							response	•		
Form 5	Filed p	oursuant to	Section 16	6(a) of the	e Securiti	ies Ez	xchang	ge Act of 1934,			
obligation may conti		7(a) of the	Public Ut	ility Hold	ling Com	ipany	Acto	of 1935 or Section	n		
See Instru		30(h)	of the Inv	vestment	Company	y Act	of 19	40			
1(b).											
(Print or Type R	esponses)										
1	11 CD (D *						5 0 1 2 1	(D) / D		
CLOUD CANEODD ID				2. Issuer Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer			
CLOUD SA	Symbol					155001					
		NORTHEAST UTILITIES [NU]]	(Check all applicable)				
(Last)	(First)						••				
			(Month/Day/Year)					Director 10% Owner			
C/O NORTHEAST 01/				01/03/2012				Officer (give titleX Other (specify below) below)			
UTILITIES, ONE FEDERAL								Trustee			
STREET, B	UILDING 111	-4									
	4. If Amer	4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check					
				iled(Month/Day/Year)				Applicable Line)			
						One Reporting Person					
SPRINGFIE	LD, MA 0110)5						Form filed by M Person	More than One R	eporting	
(City)	(State)	(Zip)									
(eng)	(State)	(24)	Table	e I - Non-D	erivative S	securi	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of	2. Transaction 1 (Month/Day/Ye			3.	4. Securi			5. Amount of	6. Ownership		
Security (Instr. 3)	on Date, if TransactionAcquired (A) or Code Disposed of (D) /Day/Year) (Instr. 8) (Instr. 3, 4 and 5)					Securities Beneficially	(D) or Be	Indirect Beneficial			
(IIIsu. 5)					/	Owned		Ownership			
		(intoinin)	2 aj, 1 cai)	(1115411-0)	(1115411-0)	. und	2)	Following	(Instr. 4)	(Instr. 4)	
						(A)		Reported			
						or		Transaction(s)			
				Code V	Amount		Price	(Instr. 3 and 4)			
Common											
Shares,	01/03/2012			А	3,000	А	\$0	42,442 (2)	D		
\$5.00 par	01/05/2012			A	(1)	A	ψŪ	<i>→∠,</i> ++∠ <u>··</u>	D		
value											

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
F B	Director	10% Owner	Officer	Other			
CLOUD SANFORD JR C/O NORTHEAST UTILITIES ONE FEDERAL STREET, BUILDING 111-4 SPRINGFIELD, MA 01105				Trustee			
Signatures							
Sanford Cloud, Jr. By: O. Kay Comendul/POA	01/05/2012						
<u>**</u> Signature of Reporting Person	Γ	Date					
Evalenation of Decreases							

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Restricted share units (RSUs), all of which will vest on the earlier of January 10, 2013 or the date on which the Reporting Person's service(1) as a Trustee terminates for any reason other than cause. The RSUs will be forfeited if the Reporting Person's service as a Trustee terminates for cause.
- (2) Mr. Cloud's total includes restricted share units receipt of which has been deferred and reinvested dividend equivalents thereon.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.