

VOELKER JOSEPH R
Form 3
April 09, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â VOELKER JOSEPH R | | (Month/Day/Year) | STANLEY BLACK & DECKER, INC. [SWK] | |
| (Last) | (First) | (Middle) | 04/01/2013 | |
| 1000 STANLEY DRIVE | | | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| (Street) | | | (Check all applicable) | |
| NEW BRITAIN,Â CTÂ 06053 | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| (City) | (State) | (Zip) | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| | | | (give title below) (specify below) | <input type="checkbox"/> Form filed by More than One Reporting Person |
| | | | SVP, Human Resources | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock <u>(1)</u> | 18,643 | D | Â |
| Common Stock <u>(2)</u> | 3,596.4629 | I | Through Computershare Under ESPP |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of | 5. Ownership Form of Derivative | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|------------------------------------|---------------------------------|---|
|--|--|---|------------------------------------|---------------------------------|---|

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| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Derivative Security | Security: Direct (D) or Indirect (I) (Instr. 5) | |
|---|------------------|-----------------|--------------|----------------------------|---------------------|---|---|
| Stock Option (Right to Buy) | 12/09/2013 | 12/09/2019 | Common Stock | 1,875 | \$ 49.0225 | D | Â |
| Stock Option (Right to Buy) | Â (3) | 12/09/2020 | Common Stock | 3,750 | \$ 63.715 | D | Â |
| Stock Option (Right to Buy) | Â (4) | 12/08/2021 | Common Stock | 5,625 | \$ 64.785 | D | Â |
| Stock Option (Right to Buy) | Â (5) | 12/06/2022 | Common Stock | 7,500 | \$ 70.61 | D | Â |
| Interest In Employer Stock Fund (401(k) Plan) (6) | Â (7) | Â (7) | Common Stock | 1,097.4786 | \$ (7) | D | Â |
| Interest in Employer Stock Fund (Supplemental Plan) (8) | Â (7) | Â (7) | Common Stock | 56.3491 | \$ (7) | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| VOELKER JOSEPH R 1000 STANLEY DRIVE NEW BRITAIN, CT 06053 | Â | Â | Â SVP, Human Resources | Â |

Signatures

/s/ Joseph R. Voelker 04/09/2013

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 16,250 of the shares directly held are restricted stock units, of which 625 vest on 12/9/2013, 5,000 vest on 3/12/2014, 5,000 vest on 3/12/2015, 625 vest on 12/9/2013, 625 vest on 12/9/2014, 625 vest on 12/8/2013, 625 vest on 12/8/2014, 625 vest on 12/8/2015, 625 vest on 12/6/2013, 625 vest on 12/6/2014, 625 vest on 12/6/2015 and 625 vest on 12/6/2016.
 - (2) Aggregate number of shares held in ESPP as of April 1, 2013.
 - (3) 1,875 shares become exercisable on 12/9/2013, 1,875 shares become exercisable on 12/9/2014.
 - (4) 1,875 shares become exercisable on 12/8/2013, 1,875 shares become exercisable on 12/8/2014, 1,875 shares become exercisable on 12/8/2015.
 - (5) 1,875 shares become exercisable on 12/6/2013, 1,875 shares become exercisable on 12/6/2014, 1,875 shares become exercisable on 12/6/2015 and 1,875 shares become exercisable on 12/6/2016.

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(6) Represents shares held for the reporting person under the Company's 401(k) Plan as of April 1, 2013.

(7) Exempt

(8) Represents shares held for the reporting person under the Company's Supplemental Plan as of April 1, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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