

SYNEX CORP
Form 4
January 06, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LAROCQUE PETER

(Last) (First) (Middle)
44201 NOBEL DRIVE
(Street)

FREMONT, CA 94538

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
SYNEX CORP [SNX]

3. Date of Earliest Transaction (Month/Day/Year)
01/02/2014

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
President, U.S. Distribution

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	01/02/2014		M		1,250 A \$ 20.4	46,563	D
Common Stock	01/02/2014		S		1,050 (1) D \$ 66.5	45,513	D
Common Stock	01/02/2014		S		100 (1) D \$ 66.51	45,413	D
Common Stock	01/02/2014		S		100 (1) D \$ 66.52	45,313	D
Common Stock	01/02/2014		M		5,833 A \$ 19.41	51,146	D

Edgar Filing: SYNEX CORP - Form 4

Common Stock	01/02/2014	S	142 <u>(1)</u>	D	\$ 66.01	51,004	D
Common Stock	01/02/2014	S	294 <u>(1)</u>	D	\$ 66.05	50,710	D
Common Stock	01/02/2014	S	300 <u>(1)</u>	D	\$ 66.06	50,410	D
Common Stock	01/02/2014	S	100 <u>(1)</u>	D	\$ 66.0622	50,310	D
Common Stock	01/02/2014	S	600 <u>(1)</u>	D	\$ 66.07	49,710	D
Common Stock	01/02/2014	S	100 <u>(1)</u>	D	\$ 66.075	49,610	D
Common Stock	01/02/2014	S	502 <u>(1)</u>	D	\$ 66.08	49,108	D
Common Stock	01/02/2014	S	300 <u>(1)</u>	D	\$ 66.09	48,808	D
Common Stock	01/02/2014	S	505 <u>(1)</u>	D	\$ 66.1	48,303	D
Common Stock	01/02/2014	S	900 <u>(1)</u>	D	\$ 66.1096	47,403	D
Common Stock	01/02/2014	S	16 <u>(1)</u>	D	\$ 66.11	47,387	D
Common Stock	01/02/2014	S	100 <u>(1)</u>	D	\$ 66.1162	47,287	D
Common Stock	01/02/2014	S	200 <u>(1)</u>	D	\$ 66.12	47,087	D
Common Stock	01/02/2014	S	200 <u>(1)</u>	D	\$ 66.1366	46,887	D
Common Stock	01/02/2014	S	587 <u>(1)</u>	D	\$ 66.15	46,300	D
Common Stock	01/02/2014	S	84 <u>(1)</u>	D	\$ 66.17	46,216	D
Common Stock	01/02/2014	S	303 <u>(1)</u>	D	\$ 66.18	45,913	D
Common Stock	01/02/2014	S	200 <u>(1)</u>	D	\$ 66.5	45,713	D
Common Stock	01/02/2014	S	400 <u>(1)</u>	D	\$ 66.13	45,313	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not

SEC 1474
(9-02)

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 20.4	01/02/2014		M	1,250	⁽²⁾ 10/02/2017	Common Stock	1,250
Stock Option (Right to Buy)	\$ 19.41	01/02/2014		M	5,833	⁽³⁾ 10/03/2018	Common Stock	5,833

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LAROCQUE PETER 44201 NOBEL DRIVE FREMONT, CA 94538			President, U.S. Distribution	

Signatures

/s/ Simon Y. Leung,
Attorney-in-Fact

01/06/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) These sales were effectuated pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on October 1, 2013.

(2) This stock option is immediately exercisable and there are no longer any shares subject to this option.

Edgar Filing: SYNEX CORP - Form 4

(3) This stock option is immediately exercisable as to 417 shares and is fully vested.

Remarks:

1 of 3

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.