

FIDELITY SOUTHERN CORP
Form 4
April 07, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Choate William Millard

2. Issuer Name and Ticker or Trading Symbol
FIDELITY SOUTHERN CORP
[LION]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
04/04/2014

Director 10% Owner
 Officer (give title below) Other (specify below)

3490 PIEDMONT ROAD #1550

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

ATLANTA, GA 30305

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) | | |
|--|--------------------------------------|--|--------------------------------|---|---|--|--|---|-----------------------|
| | | | Code | V | Amount | (A) or (D) | Price | | |
| Fidelity Southern Corporation - Common Stock | 04/04/2014 | | J ⁽¹⁾ | V | 0.0041 | D | \$ 0 0 | I | By Family Partnership |
| Fidelity Southern Corporation - Common Stock | 04/04/2014 | | J ⁽¹⁾ | V | 33,720 | D | \$ 0 0.0041 | I | By Family Partnership |
| Fidelity Southern | 04/04/2014 | | J ⁽¹⁾ | V | 33,720 | A | \$ 0 198,596.637 | D | |

Corporation
- Common
Stock

Fidelity
Southern

Corporation 04/04/2014
- Common
Stock

A⁽²⁾ 212.652 A \$ 14.0958 164,876.637 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|----------------------------|
| | | | | | | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| | | | | | | Code | V (A) (D) | | |
| Stock Option (Right to Buy) | \$ 15.56 | | | | | 01/23/2015 ⁽³⁾ | 01/23/2019 | Fidelity Southern Corporation - Common Stock | 6,000 |
| Stock Option (Right to Buy) | \$ 6.15 | | | | | 01/19/2013 ⁽⁴⁾ | 01/19/2017 | Fidelity Southern Corporation - Common Stock | 10,000 |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

X

Choate William Millard
3490 PIEDMONT ROAD #1550
ATLANTA, GA 30305

Signatures

Barbara McNeill, Attorney in Fact, Wm. Millard
Choate

04/07/2014

 **Signature of Reporting Person

 Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Adjustment
- (2) Shares awarded for service as a Director during the previous month.
- (3) Exercisable: 1/3 on 1/23/15; 1/3 on 1/23/16; 1/3 on 1/23/17
- (4) Exercisable: 1/3 on 1/19/13; 1/3 on 1/19/14; 1/3 on 1/19/15

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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