

TREX CO INC
Form 4
August 12, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

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(Print or Type Responses)

1. Name and Address of Reporting Person *
GRATZ JAY M

(Last) (First) (Middle)

**C/O TREX COMPANY, INC., 160
EXETER DRIVE**

(Street)

WINCHESTER, VA 22603-8605

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
TREX CO INC [TREX]

3. Date of Earliest Transaction
(Month/Day/Year)
08/11/2014

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	08/11/2014		M ⁽¹⁾		1,860 A \$ 12.645	8,616	D
Common Stock	08/11/2014		M ⁽¹⁾		432 A \$ 10.815	9,048	D
Common Stock	08/11/2014		M ⁽¹⁾		1,044 A \$ 10.01	10,092	D
Common Stock	08/11/2014		M ⁽¹⁾		2,568 A \$ 9.025	12,660	D
Common Stock	08/11/2014		M ⁽¹⁾		1,246 A \$ 8.47	13,906	D

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Common Stock	08/11/2014	D	1,995	D	\$ 36.31	11,911	D
Common Stock	08/12/2014	<u>S(2)</u>	5,155	D	\$ 36	6,756	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Appreciation Right	\$ 12.645	08/11/2014		<u>M</u> ⁽¹⁾		1,860		02/22/2007	02/22/2017	Common Stock	1,860
Stock Appreciation Right	\$ 10.815	08/11/2014		<u>M</u> ⁽¹⁾		432		04/02/2007	04/02/2017	Common Stock	432
Stock Appreciation Right	\$ 10.01	08/11/2014		<u>M</u> ⁽¹⁾		1,044		07/02/2007	07/02/2017	Common Stock	1,044
Stock Appreciation Right	\$ 9.025	08/11/2014		<u>M</u> ⁽¹⁾		2,568		07/18/2007	07/18/2017	Common Stock	2,568
Stock Appreciation Right	\$ 8.47	08/11/2014		<u>M</u> ⁽¹⁾		1,246		10/01/2008	10/01/2018	Common Stock	1,246

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other

GRATZ JAY M
C/O TREX COMPANY, INC.
160 EXETER DRIVE
WINCHESTER, VA 22603-8605

X

Signatures

/s/ William R. Gupp by power of
attorney

08/12/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock Appreciation Rights (SARs) are being exercised pursuant to a Rule 10b5-1 Exercise plan adopted by the reporting person on February 25, 2014.
- (2) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 Sales plan adopted by the reporting person on February 25, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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