PUBLIX SUPER MARKETS INC

Form 5

January 30, 2015

FORM 5 **OMB APPROVAL OMB**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if

no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Reported Form 4

1(b).

Stock

Transactions Reported

1. Name and Address of Reporting Person *

PHILLIPS	DAVID P	P	ymbol UBLIX (NONE]	SUPER 1	MARKET	'S IN	Iss C		ll applicable)		
(Last)	(First)	(1	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/28/2014				Director 10% OwnerX Officer (give title Other (specify below) Chief Financial Officer				
P.O. BOX	407							Cilici I ili	anciai Officci		
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)				6.]	6. Individual or Joint/Group Reporting			
								(check applicable line)			
LAKELAI	ND, FL 33802	2					_X,	_ Form Filed by One Form Filed by Mor son			
(City)	(State)	(Zip)	Table I	- Non-De	rivative Sec	uritie	s Acquire	ed, Disposed of, o	r Beneficially	Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Datany (Month/Day/Y	Co	nsaction de str. 8)	4. Securitie or Disposed (Instr. 3, 4 and Amount	d of (D		5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	04/22/2014	Â	J		128,689	A	\$ 30.15	218,675	I	By GRAT	
Common Stock	04/22/2014	Â	J		128,689 (1)	D	\$ 30.15	25,500	D	Â	
Common Stock	Â	Â	Â		Â	Â	Â	1,777.5649	I	By 401(k)	
Common	Â	Â	Â		Â	Â	Â	14,000	I	By	

Custodian For

3235-0362

January 31,

2005

1.0

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

Estimated average

burden hours per

									Children
Common Stock	Â	Â	Â	Â	Â	Â	65,494.0817	I	By ESOP
Common Stock	Â	Â	Â	Â	Â	Â	17,025	I	By IRA
			_						

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title Derivat Security (Instr. 3	ive Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exerc Expiration Da (Month/Day/	ate	7. Title and A Underlying S (Instr. 3 and 4	ecurities
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
SERP Stock	Δ	Â	Â	Â	Â	(4)	(4)	Common Stock	5,846.6422

Reporting Owners

Reporting Owner Name / Address	Relationships							
1	Director	10% Owner	Officer	Other				
PHILLIPS DAVID P P.O. BOX 407 LAKELAND, FL 33802	Â	Â	Chief Financial Officer	Â				

Signatures

/s/ Monica Allman, POA on file for David 01/30/2015 **Phillips** **Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Reflects transfers for no consideration that did not result in a change in the reporting person's pecuniary interest and are therefore exempt pursuant to Rule 16a-13 under the Securities Exchange Act of 1934, as amended.
- (2) Acquired under the Publix Super Markets, Inc. 401(k) SMART Plan. Transaction exempt under rule 16b-3(c).

Reporting Owners 2

Edgar Filing: PUBLIX SUPER MARKETS INC - Form 5

- (3) Acquired under the Publix Super Markets, Inc. Employee Stock Ownership Plan. Transaction exempt under rule 16b-3(c).
 - Each share of SERP stock is the economic equivalent of one share of common stock. The shares of SERP stock become payable in
- (4) common stock upon the reporting person's termination of employment in accordance with the Publix Super Markets, Inc. Supplemental Executive Retirement Plan.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.