Hardison Hope A. Form 4 July 25, 2017

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Hardison Hope A.

(First)

(Middle)

2. Issuer Name and Ticker or Trading Symbol

WELLS FARGO & COMPANY/MN [WFC]

3. Date of Earliest Transaction

(Month/Day/Year) 07/22/2017

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

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response... 0.5

Issuer (Check all applicable) Director 10% Owner Other (specify \_X\_\_ Officer (give title below) Sr. Executive Vice President 6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

5. Relationship of Reporting Person(s) to

SAN FRANCISCO, CA 94104

420 MONTGOMERY STREET

| (City)                                   | (State)                                 | (Zip) Tal   | ble I - Non   | -Derivative Sec   | urities          | s Acquire  | ed, Disposed of, o                             | r Beneficially  | Owned                     |
|--|---|---|---|-------------------|------------------|--|--|---|---------------------------|
| 1.Title of<br>Security<br>(Instr. 3)     | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. 4. Securities Acquired (A) or TransactiorDisposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) |                   |                  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership Form: Direct (D) or Indirect      | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |                           |
|  |   |   | Code V  | Amount            | (A)<br>or<br>(D) | Price  | Reported<br>Transaction(s)<br>(Instr. 3 and 4) | (I)<br>(Instr. 4)   |                           |
| Common<br>Stock, \$1<br>2/3 Par<br>Value | 07/22/2017                              |   | M   | 1,588.2548<br>(1) | A                | \$0  | 1,697.6628                                     | D   |                           |
| Common<br>Stock, \$1<br>2/3 Par<br>Value | 07/22/2017                              |   | F   | 829.2548          | D                | \$<br>54.17  | 868.408  | D   |                           |
| Common<br>Stock, \$1<br>2/3 Par<br>Value |   |   |   |                   |                  |  | 12,164.3045<br>(2)                             | I   | Through<br>401(k)<br>Plan |

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| Common<br>Stock, \$1<br>2/3 Par<br>Value | 100,831 | I | Through<br>Family<br>Trust |
|--|---------|---|----------------------------|
| v auc                                    |         |   |                            |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | rivative Expiration Date curities Acquired (Month/Day/Year) ) or Disposed of ) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                            |
|---|---|---|---|--|--|--|--------------------|---|----------------------------|
|   |   |   |   | Code V                                 | (A) (D)  | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>Number<br>Shares |
| Restricted<br>Share<br>Right                        | (3)   | 07/22/2017                              |   | M                                      | 1,588.2548   | <u>(4)</u>   | <u>(4)</u>         | Common<br>Stock, \$1<br>2/3 Par<br>Value                      | 1,588.2                    |

# **Reporting Owners**

SAN FRANCISCO, CA 94104

| Reporting Owner Name / Address | Relationships |           |                              |       |  |  |
|--------------------------------|---------------|-----------|------------------------------|-------|--|--|
|                                | Director      | 10% Owner | Officer                      | Other |  |  |
| Hardison Hope A.               |               |           |                              |       |  |  |
| 420 MONTGOMERY STREET          |               |           | Sr. Executive Vice President |       |  |  |

## **Signatures**

Hope A. Hardison, by Anthony R. Augliera, as Attorney-in-Fact

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Number of shares represents a Restricted Share Right ("RSR") vesting on 7/22/2017. Original grant date was 7/22/2014. This vesting represents one-fourth of the original amount of RSRs granted (plus dividend equivalents reinvested in additional RSRs).
- (2) Reflects share equivalent of units in the Wells Fargo ESOP Fund under the 401(k) Plan (the "Plan") as of June 30, 2017, as if investable cash equivalents held by Plan were fully invested in Wells Fargo & Company (the "Company") common stock.

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- (3) Each RSR represents a contingent right to receive one share of Company common stock.
- These RSRs vest in four installments: one-fourth on 7/22/2015, 7/22/2016, 7/22/2017, and 7/22/2018. As a condition to receiving the
- (4) grant, the reporting person agreed to hold, while employed by the Company and for at least one year after retirement, shares of Company common stock equal to at least 50% of the after-tax shares (assuming a 50% tax rate) acquired upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.