### Edgar Filing: STEPHENS JOHN JOSEPH - Form 4

STEPHEN Form 4	S JOHN JOSEPH											
February 04												
FOR	M 4 <sub>UNITED</sub>	STATES	SECU	RITIES	AND EX	СНА	NGI	E COM	MISSION		PROVAL	
		<b>DINIL</b> D			n, D.C. 2			2 0011		OMB Number:	3235-0287	
Check if no lo	this box								~~~~~	Expires:	January 31, 2005	
subject Section	to SIAIE	MENT OF	CHA	NGES I SECU	Estimated av	/erage						
Form 4 Form 5 obligati may co	or Filed pu		Public I	16(a) of Utility H	the Secur	mpan	y Ac	t of 193	t of 1934, 5 or Section	burden hours response	0.5	
(Print or Type	e Responses)											
	Address of Reporting		Symbol		<b>nd</b> Ticker o	or Tradi	ng	5. Ro Issue	er	Reporting Perso	on(s) to	
(Last)	(First)	(Middle)		_	Transaction	1			(Check	all applicable)		
208 S. AKARD STREET (Month 01/31/				/Day/Year)X 2019X belo					Director 10% Owner KOfficer (give title Other (specify below) Sr. Exec. VP and CFO			
	(Street)			nendment, ionth/Day/Y	Date Origir ear)	ıal		Appl _X_	icable Line) Form filed by Oı	nt/Group Filing ne Reporting Persone than One Rep	son	
	TX 75202							Perso			C	
(City)	(State)	(Zip)	Ta	ble I - Nor	n-Derivativ	e Secui	rities A	Acquired	, Disposed of,	or Beneficially	Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemer Execution I any (Month/Day	Date, if	Code	4. Securit orDisposed (Instr. 3, 4	of (D)		(A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s	Ownersh Form: Direct (E or Indire (I) 3) (Instr. 4)	Beneficial O) Ownership	
				Code V	Amou	int	(D)	Price	(Instr. 3 and 4	+)	D	
Common Stock	01/31/2019			A <u>(1)</u>	2,494.62	203	А	\$ 30.06	80,706.945	57 I	By Benefit Plan	
Common Stock	01/31/2019			М	6,656		А	\$ 23.22	431,302	D		
Common Stock	01/31/2019			F	5,811		D	\$ 29.37	425,491	D		
Common Stock	01/31/2019			М	3,334		А	<u>(2)</u>	428,825	D		
Common Stock	01/31/2019			F <u>(3)</u>	3,334		D	\$ 30.06	425,491	D		

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Common Stock	01/31/2019	A <u>(4)</u>	55,080.24	A	<u>(5)</u>	135,787.1857	Ι	By Benefit Plan
Common Stock	01/31/2019	F <u>(6)</u>	21,674.0743	D	\$ 30.06	114,113.1114	I	By Benefit Plan
Common Stock	01/31/2019	D <u>(7)</u>	33,406.1657	D	\$ 30.06	80,706.9457	I	By Benefit Plan
Common Stock						5,744.3958	I	By 401(k)
Common Stock						163,302	Ι	By LP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amc or Num of Sł
Employee Stock Option (Right to Buy) - SPDP	\$ 23.22	01/31/2019		М		6,656	02/17/2010	02/17/2019	Common Stock	6,6
Restricted Stock Units (2019)	(2)	01/31/2019		A	89,405		(2)	<u>(2)</u>	Common Stock	89,4
Restricted Stock Units (2019)	<u>(2)</u>	01/31/2019		М		3,334	(2)	(2)	Common Stock	3,3

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
STEPHENS JOHN JOSEPH 208 S. AKARD STREET DALLAS, TX 75202			Sr. Exec. VP and CFO					
Signatures								
/s/ Starlene Meyerkord, Attorney-in-fact		02/04/2	2019					
**Signature of Reporting Person		Date						

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents deferred stock units purchased by the reporting person with automatic payroll deductions and partial company matching contributions. Deferred stock units are settled only in stock on a 1-for-1 basis.
- (2) Restricted stock units acquired pursuant to the 2018 Incentive Plan. Each unit will convert into one share of issuer's common stock. Units vest and distribute on 1/15/2023. Vesting (but not distribution) is accelerated on retirement eligibility.
- (3) Mandatory tax withholding on vesting of Restricted Stock Units due to retirement eligibility.
- (4) Distribution of performance shares.
- (5) Each performance share is equivalent in value to a share of common stock.
- (6) Mandatory tax withholding on distribution of performance shares.
- (7) Represents performance shares distributed in cash, after taxes.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.