

SLOAN JEFFREY STEVEN
Form 4
March 08, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
SLOAN JEFFREY STEVEN

2. Issuer Name and Ticker or Trading Symbol
GLOBAL PAYMENTS INC [GPN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
3550 LENOX ROAD

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
03/07/2019

Director 10% Owner
 Officer (give title below) Other (specify below)
CEO

ATLANTA, GA 30326

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | 03/07/2019 | | M | | 112,686 | A | \$ 35.775 |
| Common Stock | 03/07/2019 | | S | | 81,289 | D | \$ 129.44 |
| Common Stock | 03/07/2019 | | S | | 66,256 | D | \$ 129.82 |
| Common Stock | 03/08/2019 | | M | | 20,000 | A | \$ 35.775 |
| | 03/08/2019 | | S | | 7,715 | D | |

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| | | | | | | | | | | |
|--------------|------------|--|---|--------|------------|------------|--------|---------|---|---|
| Common Stock | | | | | \$ | | | | | |
| | | | | | 129.06 | | | | | |
| | | | | | <u>(3)</u> | | | | | |
| Common Stock | 03/08/2019 | | S | 11,997 | D | \$ | 129.67 | 431,950 | D | |
| | | | | | | <u>(4)</u> | | | | |
| Common Stock | 03/08/2019 | | S | 288 | D | \$ | 130.33 | 431,662 | D | |
| | | | | | | <u>(5)</u> | | | | |
| Common Stock | | | | | | | 11,960 | | I | By the Jeffrey S. Sloan Family Trust <u>(6)</u> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title Amount |
| Non-qualified Stock Option (Right to Buy) | \$ 35.775 | 03/07/2019 | | M | 112,686 | <u>(7)</u> 08/18/2024 | Common Stock 112 |
| Non-qualified Stock Option (Right to Buy) | \$ 35.775 | 03/08/2019 | | M | 20,000 | <u>(7)</u> 08/18/2024 | Common Stock 20 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| SLOAN JEFFREY STEVEN 3550 LENOX ROAD | X | | CEO | |

ATLANTA, GA 30326

Signatures

/s/ David L. Green, attorney-in-fact for Jeffrey S.
Sloan

03/08/2019

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reflects the weighted average sale price. The range of prices for such transaction is \$128.64 to \$129.63. Open market sale transactions were made on the same day at different prices through a trade order executed by a broker-dealer. The reporting person has reported on a
(1) single line all such transactions that occurred within a one dollar price range. The reporting person hereby undertakes to provide upon request by the Securities and Exchange Commission staff, the issuer or a shareholder of the issuer, full information regarding the number of shares sold at each separate price.

Reflects the weighted average sale price. The range of prices for such transaction is \$129.64 to \$130.11. Open market sale transactions were made on the same day at different prices through a trade order executed by a broker-dealer. The reporting person has reported on a
(2) single line all such transactions that occurred within a one dollar price range. The reporting person hereby undertakes to provide upon request by the Securities and Exchange Commission staff, the issuer or a shareholder of the issuer, full information regarding the number of shares sold at each separate price.

Reflects the weighted average sale price. The range of prices for such transaction is \$128.31 to \$129.30. Open market sale transactions were made on the same day at different prices through a trade order executed by a broker-dealer. The reporting person has reported on a
(3) single line all such transactions that occurred within a one dollar price range. The reporting person hereby undertakes to provide upon request by the Securities and Exchange Commission staff, the issuer or a shareholder of the issuer, full information regarding the number of shares sold at each separate price.

Reflects the weighted average sale price. The range of prices for such transaction is \$129.31 to \$130.30. Open market sale transactions were made on the same day at different prices through a trade order executed by a broker-dealer. The reporting person has reported on a
(4) single line all such transactions that occurred within a one dollar price range. The reporting person hereby undertakes to provide upon request by the Securities and Exchange Commission staff, the issuer or a shareholder of the issuer, full information regarding the number of shares sold at each separate price.

Reflects the weighted average sale price. The range of prices for such transaction is \$130.31 to \$130.35. Open market sale transactions were made on the same day at different prices through a trade order executed by a broker-dealer. The reporting person has reported on a
(5) single line all such transactions that occurred within a one dollar price range. The reporting person hereby undertakes to provide upon request by the Securities and Exchange Commission staff, the issuer or a shareholder of the issuer, full information regarding the number of shares sold at each separate price.

Shares are held in the Jeffrey S. Sloan Family Trust. The reporting person disclaims beneficial ownership of these securities and the filing
(6) of this report is not an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

This grant became exercisable in 33.33% increments on the first (August 18, 2015), second (August 18, 2016), and third (August 18,
(7) 2017) anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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