Edgar Filing: KOEPPEL HOLLY K - Form 4

KOEPPEL Form 4	HOLLY K										
April 22, 20)19										
FORM	ЛЛ								PPROVAL		
	UNITED	STATES		RITIES A Ashington			E COMMISSION	NOMB Number:	3235-0287		
Check ti if no lor subject Section Form 4 Form 5 obligation may con	nger to 16. or Filed put ons stinue.		Section Public U	SECUI 16(a) of th	RITIES ne Secur ding Co	Estimated burden hou response	urs per				
<i>See</i> Inst 1(b).	ruction		01 010 1		, comp		.,				
(Print or Type	-										
1. Name and Address of Reporting Person <u>*</u> KOEPPEL HOLLY K			2. Issuer Name and Ticker or Trading Symbol AES CORP [AES]			5. Relationship of Reporting Person(s) to Issuer					
(Last)	(First) (Middle)		-	-	n	(Check all applicable)				
4300 WILSON BLVD			3. Date of Earliest Transaction(Month/Day/Year)04/18/2019				X_ Director 10% Owner Officer (give title Other (specify below)				
	4. If Amendment, Date Original Filed(Month/Day/Year)			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 							
ARLINGT	ON, VA 22203						Person		sporting		
(City)	(State)	(Zip)	Tab	ole I - Non-l	Derivativ	e Securities A	Acquired, Disposed	of, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemo Execution any (Month/Da	Date, if	3. Transactio Code (Instr. 8) Code V	Dispose (Instr. 3	d (A) or d of (D) , 4 and 5) (A) or	Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Reminder: Re	port on a separate line	e for each cl	ass of sec	urities bene	ficially o	wned directly	or indirectly.				
	г ол- а зоранае ник				Pers infor requ	ons who re mation con ired to resp lays a curre	spond to the colle tained in this form ond unless the fo ently valid OMB co	n are not rm	SEC 1474 (9-02)		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of	8. Pr
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orDerivative	Expiration Date	Underlying Securities	Deriv
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)			(Inst

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	Derivative Security			or Disposed of (D) (Instr. 3, 4, and 5)						
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Units	<u>(1)</u>	04/18/2019	А		13,874		<u>(1)</u>	(1)	Common Stock	13,874

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
KOEPPEL HOLLY K 4300 WILSON BLVD ARLINGTON, VA 22203	Х						
Signatures							
/s/ Megan Campbell, attorney-in-fact		04/22/201	9				
**Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each stock unit is the economic equivalent of one share of AES Common Stock. These units will be settled for shares of AES Common(1) Stock after the date the reporting person terminates service on the Board in accordance with the Director's election form under The AES Corporation 2003 Long Term Compensation Plan, as amended on April 23, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.