

VON HOFF DANIEL
Form 4
September 09, 2009

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
VON HOFF DANIEL

(Last) (First) (Middle)

C/O GENTA INC, 200 CONNELL DRIVE

(Street)

BERKELEY HEIGHTS, NJ 07922

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
GENTA INC DE/ [GETA]

3. Date of Earliest Transaction (Month/Day/Year)
08/26/2009

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D) Price			
Common Stock	08/26/2009 ⁽¹⁾		A	V Amount (D) Price 695,658 A 695,658	695,658	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: VON HOFF DANIEL - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. P Der Sec (Ins	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Director's Stock Option (right to buy)	\$ 2,025	08/26/2009		D	250	(3) 01/12/2010		Common Stock	250
Director's Stock Option (right to buy)	\$ 4,245	08/26/2009		D	22	(3) 12/13/2011		Common Stock	22
Director's Stock Option (right to buy)	\$ 5,475	08/26/2009		D	22	(3) 03/21/2012		Common Stock	22
Director's Stock Option (right to buy)	\$ 2,067	08/26/2009		D	44	(3) 09/19/2012		Common Stock	44
Director's Stock Option (right to buy)	\$ 2,058	08/26/2009		D	67	(3) 02/13/2013		Common Stock	67
Director's Stock Option (right to buy)	\$ 3,024	08/26/2009		D	67	(3) 03/19/2014		Common Stock	67
Director's Stock option (right to	\$ 345	08/26/2009		D	67	(3) 03/24/2015		Common Stock	67

buy)

Director's
Stock
Option
(right to
buy)

\$ 639

08/26/2009

D

67

(3)

04/05/2016

Common
Stock

67

Director's
Stock
Option
(right to
buy)

\$ 483

08/26/2009

D

17

(3)

06/16/2016

Common
Stock

17

Director's
Stock
Option
(right to
buy)

\$ 90

08/26/2009

D

67

(3)

07/11/2017

Common
Stock

67

Director's
Stock
Option
(right to
buy)

\$ 12.5

08/26/2009

D

67

(3)

10/06/2018

Common
Stock

67

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

VON HOFF DANIEL
C/O GENTA INC
200 CONNELL DRIVE
BERKELEY HEIGHTS, NJ 07922

X

Signatures

/s/DANIEL VON
HOFF

09/09/2009

**Signature of Reporting
Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- On September 4, 2009, the Issuer filed Form S-8 for the Genta Incorporated 2009 Stock Incentive Plan, registering 83,478,929 shares of
- (1) Genta Incorporated Common Stock, par value \$0.001. The restricted stock units that were issued on August 26, 2009 vested on stockholder approval of the Genta Incorporated 2009 Stock Incentive Plan and were issued to the reporting person on September 8, 2009
 - (2) On August 26, 2009 the Issuer canceled, pursuant to the issuer's option exchange program (i) 250 options granted to the reporting person on January 12, 2000, (ii) 22 options granted to the reporting person on December 13, 2001, (iii) 22 options granted on March 21, 2002, (iv) 44 options granted on September 19, 2002, (v) 67 options granted on February 13, 2003, (vi) 67 options granted on March 19, 2004, (vii) 67 options granted on March 24, 2005, (viii) 67 options granted on April 5, 2006, (ix) 17 options granted on June 16, 2006 (x) 67

Edgar Filing: VON HOFF DANIEL - Form 4

options granted on July 11, 2007 and (xi) 67 options granted on October 6, 2008.

(3) The canceled options automatically vested on the date of grant. See (2) above for repective grant dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.