

Serrienne Mark A
Form 4
November 16, 2012

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Serrienne Mark A

(Last) (First) (Middle)

10000 ALLIANCE ROAD

(Street)

CINCINNATI, X1 45242

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

LSI INDUSTRIES INC [LYTS]

3. Date of Earliest Transaction
(Month/Day/Year)

11/15/2012

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Shares				(A) or (D)	9,656	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not
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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Filing Date of Derivative Security (Instr. 3)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Buy (2)	\$ 8.55					(1)		08/11/2014		Common Shares	1,500
Option to Buy (2)	\$ 9.96					(1)		10/27/2014		Common Shares	5,000
Option to Buy (2)	\$ 10.71					(1)		11/18/2014		Common Shares	1,500
Option to Buy (2)	\$ 17.02					(1)		11/15/2015		Common Shares	1,500
Option to Buy (2)	\$ 17.6					(1)		08/24/2016		Common Shares	2,500
Option to Buy (2)	\$ 17.55					(1)		11/14/2016		Common Shares	1,500
Option to Buy (2)	\$ 19.76					(1)		08/24/2017		Common Shares	2,500
Option to Buy (2)	\$ 19.68					(1)		11/15/2017		Common Shares	1,500
Option to Buy (2)	\$ 8.98					(1)		08/22/2018		Common Shares	2,500
Option to Buy (2)	\$ 4.6					(1)		11/20/2018		Common Shares	1,500
Option to Buy (2)	\$ 8.4					(1)		08/21/2019		Common Shares	3,500

Option to Buy (2)	\$ 7.2				(1)	11/19/2019	Common Shares	1,500
Option to Buy (2)	\$ 5.21				(1)	08/19/2020	Common Shares	2,500
Option to Buy (2)	\$ 8.92				(1)	11/28/2020	Common Shares	1,500
Option to Buy (2)	\$ 6.68				(1)	11/17/2021	Common Shares	1,500
Option to Buy (2)	\$ 6.58				(1)	08/15/2022	Common Shares	2,500
Option to Buy	\$ 6.28	11/15/2012	A	1,500	(1)	11/15/2022	Common Shares	1,500

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Serrienne Mark A 10000 ALLIANCE ROAD CINCINNATI, X1 45242			X	

Signatures

/s/ F. Mark Reuter, Attorney-in-Fact for Mark A.
Serrienne

11/16/2012

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Non-Qualified stock options granted pursuant to the Company's 2003 Equity Compensation Plan. The options vest at a rate of 25% at the conclusion of each 90 day period following the grant date.
- (2) These holdings have been previously reported on Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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