WELLCARE HEALTH PLANS, INC.

Form 3

October 21, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

3235-0104

OMB APPROVAL

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Number: Expires:

January 31, 2005

0.5

Estimated average burden hours per response...

SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

A Baily Lucinda M.

(Last)

(First)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

10/17/2013

WELLCARE HEALTH PLANS, INC. [WCG]

5. If Amendment, Date Original

C/O WELLCARE HEALTH

PLANS, INC., Â 8735 HENDERSON ROAD

4. Relationship of Reporting Person(s) to Issuer

Filed(Month/Day/Year)

(Street)

10% Owner Director _X__ Officer Other

Chief Compliance Officer

(give title below) (specify below)

(Check all applicable)

6. Individual or Joint/Group

Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

TAMPA. FLÂ 33634

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Form: Direct (D) or Indirect

(I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

Date

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

Expiration Title Exercisable Date

Amount or Number of

Derivative Security: Security Direct (D)

1

Edgar Filing: WELLCARE HEALTH PLANS, INC. - Form 3

				Shares		or Indirect (I) (Instr. 5)	
Restricted Stock Units	(1)	(1)	Common Stock	718	\$ <u>(2)</u>	D	Â
Market Stock Units	(3)	(3)	Common Stock	511	\$ <u>(4)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Baily Lucinda M. C/O WELLCARE HEALTH PLANS, INC. 8735 HENDERSON ROAD TAMPA. FL 33634	Â	Â	Chief Compliance Officer	Â		

Signatures

/s/ Michael Haber, Attorney-in-fact

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units vest in approximately equal installments on March 1, 2014, March 1, 2015, and March 1, 2016. Vested shares will be delivered to the Reporting Person upon vest.
- (2) Each restricted stock unit represents a contingent right to receive on share of WCG common stock.
- (3) The market stock units vest on March 1, 2016. Vested shares will be delivered to the Reporting Person upon vest.
- Each market stock unit represents a contingent right to receive up to 2.0 shares of WCG common stock. Vesting will be determined based on the average closing price for the last thirty trading days in 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2