Edgar Filing: STEIN MART INC - Form 4

CTEIN MADT INC

Form 4	KT INC									
October 23,	2013									
FORM	_							OMB AF	PROVAL	
	UNITED	STATES SECU W	JRITIES A ashington			ANGE CO	OMMISSION	OMB Number:	3235-0287	
Check th if no lon										
subject t Section Form 4	16.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							2005 verage rs per 0.5	
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
(Print or Type	Responses)									
1. Name and A HAWKINS	Symbo	21 Ibbuer Hume und Hener of Humg				5. Relationship of Reporting Person(s) to ssuer				
(Least)	(First) ((CI)		(Check	all applicable)	
(Last) C/O STEIN RIVERPLA	(Month 200 10/22					Director 10% Owner _X Officer (give title Other (specify below) EVP and Chief Admin. Officer				
		Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
JACKSON	VILLE, FL 3220 [°]	7				-	Form filed by Mo Person			
(City)	(State)	(Zip) Ta	able I - Non-	Derivative	Secu	rities Acqu	ired, Disposed of,	or Beneficial	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	ate, if Transactionor Disposed of (D) Code (Instr. 3, 4 and 5)			(D)	5. Amount of Securities Beneficially Owned Following Reported	Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Common Stock	10/22/2013		М	28,565	А	\$ 11.69	147,788	D		
Common Stock	10/22/2013		S	28,565	D	\$ 14.7558 (1)	119,223	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Option to Purchase)	\$ 11.69	10/22/2013		М	28,565	03/02/2010	03/02/2014	Common Stock	28,565

Reporting Owners

Reporting Owner Name / Ac	Idress	Relationships						
	Director	10% Owner	Officer	Other				
HAWKINS D HUNT C/O STEIN MART, INC. 1200 RIVERPLACE BOUL JACKSONVILLE, FL 3220			EVP and Chief Admin. Officer					
Signatures								
/s/ D. Hunt Hawkins	10/23/2013							

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$14.750 to \$14.780, inclusive. The reporting person undertakes to provide to Stein Mart, Inc., any security holder of Stein Mart, Inc., or

(1) the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth herein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.