

INTREXON CORP
Form 4
August 18, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
KIRK RANDAL J

(Last) (First) (Middle)

C/O THIRD SECURITY, LLC, 1881 GROVE AVENUE

(Street)

RADFORD, VA 24141

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
INTREXON CORP [XON]

3. Date of Earliest Transaction (Month/Day/Year)
08/17/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

Chief Executive Officer

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/17/2016		X	8,871 A	\$ 2.65 5,256,447	I	by R.J. Kirk DOT (2) (1)
Common Stock	08/17/2016		X	2,957 A	\$ 3.18 5,259,404	I	by R.J. Kirk DOT (2) (1)
Common Stock					246,434	I	by Third Security (2)
Common Stock					311,287	I	by Staff 2001 (2)
					1,403	I	by Lotus (2)

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Common Stock			
Common Stock	139,052	I	by JPK 2008 ⁽²⁾
Common Stock	140,007	I	by MGK 2008 ⁽²⁾
Common Stock	114,181	I	by ZSK 2008 ⁽²⁾
Common Stock	720,562	I	by JPK 2009 ⁽²⁾
Common Stock	850,355	I	by MGK 2009 ⁽²⁾
Common Stock	75,684	I	by ZSK 2009 ⁽²⁾
Common Stock	843,044	I	by JPK 2012 ⁽²⁾
Common Stock	135,033	I	by Kellie L. Banks LTT ⁽²⁾
Common Stock	118,266	I	by Senior Staff 2006 ⁽²⁾
Common Stock	59,133	I	by Staff 2006 ⁽²⁾
Common Stock	19,711	I	by Incentive 2006 ⁽²⁾
Common Stock	6,649,997	I	by Kapital Joe ⁽³⁾
Common Stock	5,483,957	I	by Mascara Kaboom ⁽³⁾
Common Stock	58,800	I	by Sr. Staff ⁽³⁾
Common Stock	179,199	I	by ADC 2010 ⁽³⁾
Common Stock	940,426	I	by MGK 2011 ⁽³⁾
Common Stock	1,356,648	I	by Senior Staff 2008 ⁽³⁾
Common Stock	1,356,648	I	by Staff 2010 ⁽³⁾

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Common Stock	678,323	I	by Incentive 2010 ⁽³⁾
Common Stock	22,636,052	I	by NRM V ⁽³⁾
Common Stock	13,340,645	I	by NRM VI Holdings ⁽³⁾
Common Stock	243,001	I	by NRM VII Holdings ⁽³⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Purchase Common Stock	\$ 2.65	08/17/2016		X	8,871	⁽⁴⁾ 02/20/2018	Common Stock	8,871	
Option to Purchase Common Stock	\$ 3.18	08/17/2016		X	2,957	⁽⁴⁾ 02/20/2019	Common Stock	2,957	

Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

