### Edgar Filing: SMITH W NORMAN - Form 4

CNAUTELI VUNIODNAA

Form 4	JRMAN								
November 30	, 2017								
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								PPROVAL 3235-0287	
Check this if no longe subject to	r	NERSHIP OF	Expires:	January 31, 2005 average					
Section 16 Form 4 or Form 5	Filed pursu	uant to Section	SECUI on 16(a) of th		es Exchan	ge Act of 1934,	burden hou response	irs per	
obligations may contir <i>See</i> Instruc 1(b).	nue. Section 17(a)		c Utility Hol e Investmen	<b>U</b>	• •	of 1935 or Sectio 40	on		
(Print or Type Re	esponses)								
1. Name and Address of Reporting Person <u>*</u> SMITH W NORMAN			2. Issuer Name <b>and</b> Ticker or Trading Symbol ASTEC INDUSTRIES INC [ASTE]			5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Mi		3. Date of Earliest Transaction			(Check all applicable)			
4101 JEROME AVENUE			(Month/Day/Year) 11/29/2017			X Director 10% Owner X Officer (give title Other (specify below) below) Vice Chairman			
	(Street)	. If Amendment, Date Original iled(Month/Day/Year)			<ul><li>6. Individual or Joint/Group Filing(Check Applicable Line)</li><li>_X_ Form filed by One Reporting Person</li></ul>				
CHATTANC	DOGA, TN 37407					Form filed by I Person	More than One R	eporting	
(City)	(State) (Z	Zip)	Table I - Non-J	Derivative S	ecurities Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Code (Instr. 8)	<ul> <li>4. Securit</li> <li>tionAcquired</li> <li>Disposed</li> <li>(Instr. 3, -</li> <li>V Amount</li> </ul>	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock					(2) 1100	3,588 <u>(1)</u>	D		
Common Stock						100,796	I	W. N. Smith Rev Living Trust	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	orDerivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities8(Instr. 3 and 4)5(1)(1)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock (2)	(2)	11/29/2017		А	46.5235 (2)		(2)	(2)	Common Stock	46.5235 (2)

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
SMITH W NORMAN 4101 JEROME AVENUE CHATTANOOGA, TN 37407	Х		Vice Chairman				
Signatures							
Robert Taylor, attorney in fact Smith	orman	11/30/2017					
**Signature of Reporting F		Date					

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Holdings are Restricted Stock Units ("RSUs") that convert to common stock on a one-for-one basis at a later date.

Reported transaction represents the automatic reinvestment of Astec's quarterly dividend on shares held in the reporting person's SERP account that was used to purchase additional shares of Astec stock on the open market, as previously approved by the Company's Board

(2) account that was used to purchase additional shares of Asice stock on the open market, as previously approved by the company's Board of Directors. Phantom shares are held in a SERP and are payable in cash following the reporting person's termination of employment from Astec.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.