#### Edgar Filing: AMERICAN STATES WATER CO - Form 3

#### AMERICAN STATES WATER CO

Form 3

August 08, 2007

# FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

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SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement AMERICAN STATES WATER CO [AWR] Rentfrow Diane D (Month/Day/Year) 07/31/2007 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 5550 VIA VALLARTA (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) YORBA LINDA, CAÂ 92887 Form filed by More than One Vice President Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common 740.9401 Common 3,753.4311 I 401(K) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

3. Title and Amount of 6. Nature of Indirect 1. Title of Derivative 2. Date Exercisable and Security **Expiration Date** Securities Underlying Conversion Ownership Beneficial (Month/Day/Year) (Instr. 4) **Derivative Security** or Exercise Form of Ownership (Instr. 4) Price of Derivative (Instr. 5) Security: Derivative

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee stock options	02/02/2004(4)	02/01/2014	Common	750	\$ 25.55	D	Â
Employee stock options	01/03/2005(1)	01/02/2015	Common	750	\$ 25.92	D	Â
Employee stock options	01/30/2006(2)	01/29/2016	Common	750	\$ 33.73	D	Â
Employee stock options	01/02/2007 <sup>(3)</sup>	01/01/2017	Common	750	\$ 38.62	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
rr a g a m a m a m a m a m a m a m a m a m	Director	10% Owner	Officer	Other		
Rentfrow Diane D						
5550 VIA VALLARTA	Â	Â	Vice President	Â		
YORBA LINDA Â CAÂ 92887						

# **Signatures**

/s/ Diane D.
Rentfrow

\*\*Signature of Reporting Person

O8/08/2007

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vests in 3 annual installments of 33%, 33% and 34% of the number of shares subject to the option beginning January 2, 2006
- (2) The option vests in 3 annual installments of 33%, 33% and 34% of the number of shares subject to the option beginning Jan. 29, 2007
- (3) The option vests in 3 annual installments of 33%, 33% and 34% of the number of shares subject to the option beginning January 1, 2008
- (4) The option vests in 3 annual installments of 33%, 33% and 34% of the number of shares subject to the option beginning Feb. 1, 2005 Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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