

Truett-Hurst, Inc.
Form 8-K
November 07, 2013

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

**Current Report Pursuant to Section 13 or 15(d) of
the Securities Exchange Act of 1934**

Date of Report (Date of earliest event reported):

November 6, 2013

TRUETT-HURST, INC.

(Exact name of registrant as specified in its charter)

Delaware 333-187164 46-1561499
(State or other jurisdiction (Commission (I.R.S. Employer
of incorporation) File Number) Identification No.)

4035 Westside Road, Healdsburg, CA 95448
(Address of principal executive offices) (Zip Code)

Registrant's telephone number, including area code: (707) 431.4423

Edgar Filing: Truett-Hurst, Inc. - Form 8-K

(Former name or former address, if changed since last report): Not applicable

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 2.02. Results of Operations and Financial Condition.

On November 6, 2013, Truett-Hurst Inc. (NASDAQ: THST), announced its financial results for its first quarter of fiscal 2014 ended September 30, 2013. A copy of Truett-Hurst Inc.'s press release announcing these financial results is attached as Exhibit 99.1 to this Current Report on Form 8-K.

The information in this report, including the press release and presentation furnished as Exhibits 99.1 and 99.2 hereto, shall not be deemed to be "filed" for purposes of Section 18 of the Securities and Exchange Act of 1934, as amended (the "Exchange Act"), or otherwise subject to the liabilities of that Section, and shall not be incorporated by reference into any filing under the Securities Act of 1933, as amended, or the Exchange Act, except as shall be expressly set forth by specific reference in such a filing. In addition, the exhibits furnished herewith contain statements intended as "forward-looking statements" that are subject to the cautionary statements about forward-looking statements set forth in such exhibits.

Item 9.01. Financial Statements and Exhibits

(c) Exhibits

The exhibits listed below are furnished pursuant to Item 2.02 hereof and shall not be deemed "filed" under the Securities Exchange Act of 1934.

99.1 Press release issued by Truett-Hurst, Inc. on November 6, 2013.

99.2 Truett-Hurst, Inc. First Quarter Fiscal 2014 Teleconference Presentation, dated November 6, 2013.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Truett-Hurst Inc.

By: /s/ James D. Bielenberg _____

James D. Bielenberg

Chief Financial Officer

Date: November 6, 2013

EXHIBIT INDEX

Exhibit No. Description of Exhibit

- | | |
|------|---|
| 99.1 | Press release issued by Truett-Hurst, Inc. on November 6, 2013. |
| 99.2 | Truett-Hurst, Inc. First Quarter Fiscal 2014 Teleconference Presentation, dated November 6, 2013. |