Edgar Filing: Recon Technology, Ltd - Form 8-K

Recon Technology, Ltd
Form 8-K November 13, 2014
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
FORM 8-K
CURRENT REPORT
PURSUANT TO SECTION 13 OR 15(d) OF THE
SECURITIES AND EXCHANGE ACT OF 1934
Date of report (date of earliest event reported): November 13, 2014
RECON TECHNOLOGY, LTD
(Exact name of registrant as specified in its charter)
(Ended infinite of registration as specifical in the vital vital)
Cayman Islands 001-34409 N/A (State or Other Jurisdiction of Incorporation) (Commission File Number) (IRS Employer Identification No.)
Room 1902, Building C, King Long International Mansion
No. 9 Fulin Road

**Beijing, 100107** 

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### People's Republic of China

(	(Address of	f princi	nal e	executive	offices	and zin	(code)
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### 010-84945799

(Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligations of the registrant under any of the following provisions:

<sup>&</sup>quot;Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

<sup>&</sup>quot;Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

<sup>&</sup>quot;Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

<sup>&</sup>quot;Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

### **Item 2.02 Results of Operations and Financial Condition.**

On November 13, 2014, Recon Technology, Ltd. (the "Company") issued a press release (the "Earnings Release") announcing the Company's financial and operational results and for its fiscal quarter ended on September 30, 2014. The Earnings Release contains certain non-GAAP financial information. The reconciliation of such non-GAAP financial information to GAAP financial measures is included in the Earnings Release. A copy of the press release is attached hereto as Exhibit 99.1.

In accordance with General Instruction B.2 of Form 8-K, the information in this Current Report on Form 8-K (including the exhibits) is furnished and shall not be deemed to be "filed" for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), or otherwise subject to the liabilities of that section, nor shall it be deemed incorporated by reference in any filing made by the Company under the Securities Act of 1933, as amended, or the Exchange Act, except as expressly set forth by specific reference in such a filing.

#### **Item 9.01 Financial Statements and Exhibits**

(d) EXHIBITS.

99.1 Press Release issued by Recon Technology, Ltd. dated November 13, 2014.

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Dated: November 13, 2014  $\begin{array}{l} \text{RECON TECHNOLOGY,} \\ \text{LTD} \end{array}$ 

By: /s/ Liu Jia

Name: Liu Jia

Chief Financial Officer

Its:

## **EXHIBIT INDEX**

Exhibits.

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