Edgar Filing: SCHAMBER BLAINE - Form 3

SCHAMBER BLAINE

Form 3 April 09, 2019

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement AROULE INC [AROL] **SCHAMBER BLAINE** (Month/Day/Year) 03/30/2019 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O ARQULE, INC., ONE (Check all applicable) **WALL STREET** (Street) 6. Individual or Joint/Group 10% Owner Director _X__ Officer Other Filing(Check Applicable Line) (give title below) (specify below) _X_ Form filed by One Reporting VP, Finance, CAO Person BURLINGTON, MAÂ 01803 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 31,967 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security	4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		(Instr. 4)	Price of	Derivative	(111511. 3)
		Title	Derivative Security	Security: Direct (D)	

Edgar Filing: SCHAMBER BLAINE - Form 3

	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	(1)	01/20/2020	Common Stock	5,300	\$ 3.42	D	Â
Employee Stock Option (Right to Buy)	(1)	01/23/2021	Common Stock	5,300	\$ 6.7	D	Â
Employee Stock Option (Right to Buy)	(1)	01/31/2022	Common Stock	10,000	\$ 7.95	D	Â
Employee Stock Option (Right to Buy)	(1)	01/13/2023	Common Stock	8,500	\$ 2.51	D	Â
Employee Stock Option (Right to Buy)	(1)	01/21/2024	Common Stock	15,000	\$ 2.57	D	Â
Employee Stock Option (Right to Buy)	(1)	01/19/2025	Common Stock	13,000	\$ 1.16	D	Â
Employee Stock Option (Right to Buy)	(2)	06/21/2025	Common Stock	10,000	\$ 1.83	D	Â
Employee Stock Option (Right to Buy)	(3)	01/18/2026	Common Stock	32,000	\$ 1.79	D	Â
Employee Stock Option (Right to Buy)	(4)	01/16/2027	Common Stock	20,000	\$ 1.53	D	Â
Employee Stock Option (Right to Buy)	(5)	01/15/2028	Common Stock	16,600	\$ 1.75	D	Â
Employee Stock Option (Right to Buy)	(6)	01/14/2029	Common Stock	49,100	\$ 3.68	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
•	Director	10% Owner	Officer	Other	
SCHAMBER BLAINE					
C/O ARQULE, INC.	â	â	VP, Finance, CAO	â	
ONE WALL STREET	А	A	A VF, Fillalice, CAO	A	
BURLINGTON. MA 01803					

Signatures

/s/ Stephen Migausky, By Power of Attorney for Blaine Schamber 04/08/2019

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

Edgar Filing: SCHAMBER BLAINE - Form 3

- (1) Fully Vested.
- (2) Vests in four annual installments from 6/22/2015.
- (3) Vests in four annual installments from 1/19/2016.
- (4) Vests in four annual installments from 1/17/2017.
- (5) Vests in four annual installments from 1/16/2018.
- (6) Vests in four annual installments from 1/15/2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.