

Edgar Filing: PERRY RICHARD - Form 3

PERRY RICHARD

Form 3

September 27, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 3

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

1. Name and Address of Reporting Person

PERRY, RICHARD M.
1700 LINCOLN STREET
DENVER, CO 80203
USA

2. Date of Event Requiring Statement (Month/Day/Year)

May 15, 2002

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Issuer Name and Ticker or Trading Symbol

NEWMONT MINING CORPORATION
NEM

5. Relationship of Reporting Person(s) to Issuer (Check all applicable)

() Director () 10% Owner (X) Officer (give title below) () Other
(specify below)

Vice President & Managing Director Newmont USA Limited

6. If Amendment, Date of Original (Month/Day/Year)

May 28, 2002

7. Individual or Joint/Group Filing (Check Applicable Line)

(X) Form filed by One Reporting Person
() Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Beneficially Owned

| 1. Title of Security | 2. Amount of Securities Beneficially Owned | 3. Ownership Form: Direct (D) or Indirect (I) | 4. Nature of Beneficial |
|--------------------------------|--|---|-------------------------|
| Common Stock, \$1.60 par value | 6,440 | D | |
| | 1,214 | I | By 401-K (1) |

Table II -- Derivative Securities Beneficially Owned

| 1. Title of Derivative Security | 2. Date Exercisable and Expiration Date | 3. Title and Amount of Underlying Securities | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security |
|---------------------------------|---|--|--|--|
| Stock Option (right to buy) | 5/17/04 | Common Stock | \$39.50 | D |
| Stock Option (right to buy) | 11/16/04 | Common Stock | \$40.07 | D |

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| | | | | | | |
|---------------------------------------|--|----------|--------------|--------|---------|---|
| uy) | | | | | | |
| Stock Option (right to b immed uy) | | 5/17/05 | Common Stock | 625 | \$39.94 | D |
| Stock Option (right to b immed uy) | | 11/15/05 | Common Stock | 1,560 | \$40.44 | D |
| Stock Option (right to b immed uy) | | 5/13/06 | Common Stock | 3,120 | \$58.94 | D |
| Stock Option (right to b immed uy) | | 11/20/06 | Common Stock | 3,120 | \$51.94 | D |
| Stock Option (right to b immed uy) | | 5/21/07 | Common Stock | 2,250 | \$37.82 | D |
| Stock Option (right to b immed uy) | | 11/18/07 | Common Stock | 2,500 | \$31.75 | D |
| Stock Option (right to b immed uy) | | 1/27/08 | Common Stock | 2,250 | \$28.84 | D |
| Stock Option (right to b (2) uy) | | 5/19/08 | Common Stock | 4,167 | \$28.32 | D |
| Stock Option (right to b (3) uy) | | 11/17/08 | Common Stock | 2,500 | \$21.69 | D |
| Stock Option (right to b (4) uy) | | 5/18/09 | Common Stock | 4,750 | \$19.57 | D |
| Stock Option (right to b (5) uy) | | 11/17/09 | Common Stock | 3,500 | \$22.47 | D |
| Stock Option (right to b (6) uy) | | 5/16/10 | Common Stock | 3,500 | \$25.44 | D |
| Stock Option (right to b (7) uy) | | 11/14/10 | Common Stock | 3,500 | \$13.22 | D |
| Stock Option (right to b (8) uy) | | 5/15/11 | Common Stock | 6,250 | \$21.03 | D |
| Stock Option (right to b (9) uy) | | 11/12/11 | Common Stock | 6,250 | \$23.67 | D |
| Stock Option (right to b (10) uy) | | 5/14/12 | Common Stock | 10,000 | \$27.87 | D |

Explanation of Responses:

(1) Holdings as of April 30, 2002 in Reporting Person's 401-K Plan.

(2) This option vests in four equal annual installments beginning on May 19, 1999.

(3) This option vests in four equal annual installments beginning on November 17, 1999.

(4) This option vests in four equal annual installments beginning on May 18, 2000.

(5) This option vests in four equal annual installments beginning on November

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17, 2000.

(6) This option vests in four equal annual installments beginning on May 16, 2001.

(7) This option vests in four equal annual installments beginning on November 14, 2001.

(8) This option vests in four equal annual installments beginning on May 15, 2002.

(9) This option vests in four equal annual installments beginning on November 12, 2002.

(10) This option vests in four equal annual installments beginning on May 14, 2003.

(11) Richard M. Perry has executed a power of attorney, a copy of which has been previously filed, authorizing Ardis Young to execute this Form 3 on his behalf.

SIGNATURE OF REPORTING PERSON

Richard M. Perry by Ardis Young, Attorney-in-Fact (11)

DATE

September 27, 2002