### Edgar Filing: VEECO INSTRUMENTS INC - Form 4

#### **VEECO INSTRUMENTS INC**

Form 4

November 06, 2013

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Collingwood Peter

(First)

(Street)

(State)

2. Issuer Name and Ticker or Trading

Issuer

5. Relationship of Reporting Person(s) to

Symbol

VEECO INSTRUMENTS INC [VECO]

(Check all applicable)

(Middle)

(Zin)

11/04/2013

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner Other (specify X\_ Officer (give title )

below)

SVP WW Sales & Field Service

**VEECO INSTRUMENTS** INC., TERMINAL DRIVE

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

PLAINVIEW, NY 11803

(City)	(State) (	Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			d of (D)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			
Common Stock	11/04/2013		M	1,167 (1)	A	\$0	19,905	D		
Common Stock	11/04/2013		F	280 (2)	D	\$ 29.32	19,625	D		
Common Stock	11/04/2013		M	3,334 (1)	A	\$ 0	22,959	D		
Common Stock	11/04/2013		F	800 (2)	D	\$ 29.32	22,159	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Code	Transaction Derivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pri Deriv Secur (Instr
			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	\$ 0 (3)	11/04/2013	M		1,167	(3)	(3)	common stock	1,167	\$ 0
Restricted Stock Unit	\$ 0 (4)	11/04/2013	M		3,334	<u>(4)</u>	<u>(4)</u>	common	3,334	\$ C

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

**SVP WW Sales & Field Service** 

Collingwood Peter

VEECO INSTRUMENTS INC.

TERMINAL DRIVE

PLAINVIEW, NY 11803

### **Signatures**

Gregory A. Robbins, Attorney-in-fact

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive, at settlement, one share of Veeco common stock. This transaction represents the settlement of vested restricted stock units in shares of common stock.
- (2) Represents securities surrendered to Veeco to satisfy tax withholding obligations due upon the vesting of restricted stock units.
- (3) This restricted stock unit award was granted on June 11, 2010 and vests with respect to 1/3 of such shares on each of the second, third and fourth anniversaries of the date of grant. Vested shares will be delivered to the reporting person on the vesting date. The vesting of the

Reporting Owners 2

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award scheduled to vest in June 2013 was delayed until November 4, 2013 due to Veeco's accounting review and Veeco's inability to file its 2012 annual report on Form 10K with the SEC until November 4, 2013.

This restricted stock unit award was granted on June 18, 2009 and vests with respect to 1/3 of such shares on each of the second, third and fourth anniversaries of the date of grant. Vested shares will be delivered to the reporting person on the vesting date. The vesting of the award scheduled to vest in June 2013 was delayed until November 4, 2013 due to Veeco's accounting review and Veeco's inability to file its 2012 annual report on Form 10K with the SEC until November 4, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.