

MODINE MANUFACTURING CO

Form 4

January 10, 2003

FORM 4

UNITED STATES SECURITIES AND
EXCHANGE COMMISSION
Washington, DC 20549

STATEMENT OF CHANGES IN
BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the
Securities Exchange Act of 1934,
Section 17(a) of the Public Utility
Holding Company Act of 1935 or
Section 30(h) of the Investment
Company Act of 1940

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subject to
Section 16. Form
4 or
Form 5
obligations may
continue.
See Instruction
1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol		6. Relationship of Reporting to Issuer (Check all applicable)				
G. A. Fahl			Modine Manufacturing Company - MODI		☐ Director ☐ 10% Owner ☒ Officer (give title below) ☐ Other (specify below)				
					Environmental Health & Safety				
(Last)	(First)	(Middle)	3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)	4. Statement for Month/Day/Year	7. Individual or Joint/Group (Check Applicable Line)				
Modine Manufacturing Company			387-62-5721	1/06/03	Form filed by One Reporting Person				
1500 DeKoven Avenue				5. If Amendment, Date of Original (Month/Day/Year)	Form filed by More than One Reporting Person				
(Street)									
Racine WI 53403			Table I — Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned						
1. Title of Security (Instr. 3)			2. Transaction Date	2A. Deemed Execution Date, if any	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned (D) or	6. Ownership Form: Beneficially Owned (D) or	7. Ownership Form: Beneficially Owned (D) or

	(Month/ Day/ Year)	(Month/ Day/ Year)	Code V	Amount	(A) or (D)	Price	Followed Reported Transaction(s) (Instr. 4) (Instr. 3 and 4)	Indirect (Instr. 4)
Common Stock, \$0.625 Par	1/06/03		A	1,600	A	-0-		
	(1)		J ⁽¹⁾	106.7118		(1)		
	(2)		J ⁽²⁾	98.3935		9,695.8124 (3) (4)		D

(1)

The acquisition of 106.7118 shares were made from 4/1/02 thru 1/06/03 at prices ranging from \$18.96 to \$27.15 per share through the Modine Employee Stock Ownership Plan (ESOP), which Plan is a Rule 16b-3 Plan.

(2) The reported securities of 98.3935 Modine Common Stock Fund Units (Modine 401(k) Retirement Plan) were purchased from 4/1/02 thru 1/02/03 at prices ranging from \$16.44 to \$29.01 per Unit. Each Unit consists of Modine common stock and cash components.

(3) Includes 5,613.2728 shares owned thru the ESOP.

(4) Includes 791.5396 Units owned thru the Modine 401(k) Retirement Plan. Each Unit consists of Modine common stock and cash components. .

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(Over)
SEC 1474
(9-02)

FORM 4 (continued)	Table II — Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)							
1. Title of Derivative Security	2. Conver- sion or Exercise	3. Trans- action Date	3A. Deemed Execution	4. Trans- action Code	5. Number of Deriv- ative	6. Date Exer- cisable and Expiration	7. Title and Amount of Underlying	8. Price of Deri

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(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	Date, if any (Month/Day/Year)	(Instr.8)		Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Date (Month/Day/Year)		Securities (Instr. 3 and 4)		ative Security (Instr. 5)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Options with tandem tax withholding rights	\$28.50	1/18/95		A		3,000		1/18/1995	1/18/2005	Common Stock	3,000	
Options with tandem tax withholding rights	\$25.25	1/15/97		A		3,000		1/15/1997	1/15/2007	Common Stock	3,000	
Options with tandem tax withholding rights	\$33.9375	1/21/98		A		4,000		1/21/1998	1/21/2008	Common Stock	4,000	
Options with tandem tax withholding rights	\$33.25	1/20/99		A		5,000		1/20/1999	1/20/2009	Common Stock	5,000	
Options with tandem tax withholding rights	\$25.00	1/19/00		A		5,000		1/19/2000	1/19/2010	Common Stock	5,000	
Options with tandem tax withholding rights	\$22.78	1/16/02		A		6,000		1/16/2002	1/16/2012	Common Stock	6,000	
Options with tandem	\$18.53	1/06/03		A		3,360		1/06/2003	1/06/2013	Common Stock	3,360	

tax
withholding
rights

Explanation of Responses:

The plans under which these options were granted, which complies with Rule 16b-3, allows for the provision of tax withholding rights. The Reporting Person is a participant in the Modine Employee Stock Ownership Plan (ESOP), Modine Common Stock Fund Units and the Modine Manufacturing Company Pension Trusts. The entities (with the exception of the Modine Common Stock Fund) are also Reporting Persons pursuant to Section 16(a) and files separate statements. Shares held by the Reporting Person in the Plan or Trust are reported on this Statement and on statements filed by the Plan.

/s/ Gary A. Fahl

January 9, 2003

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.
See

**Signature of Reporting Person

Date

18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient,
see Instruction 6 for procedure.

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