**CHASE CORP** Form 4 January 25, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 4 or

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

Form 5

obligations

may continue.

See Instruction

(Print or Type Responses)

1. Name and Address of Reporting Person \* CHASE PETER R

2. Issuer Name and Ticker or Trading Symbol

Issuer

(First)

(Middle)

CHASE CORP [CCF]

(Check all applicable)

5. Relationship of Reporting Person(s) to

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

295 UNIVERSITY AVE.

3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ 10% Owner \_X\_\_ Director X\_ Officer (give title \_ Other (specify

01/24/2017

below) **Executive Chairman** 

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

WESTWOOD, MA 02090

(City)	(State) (Zi	p) Table 1	I - Non-De	rivative Securit	ties Acqui	red, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transacti Code (Instr. 8)	4. Securities A on(A) or Dispose (Instr. 3, 4 and  (A) or Amount (D)	ed of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Chase Corporation Common Stock	01/24/2017		S	7,500 D	\$ 85	517,730	D	
Chase Corporation Common Stock						258,451 <u>(2)</u>	I	Peter R. Chase 2016 Qualified Annuity Trust #2
Chase Corporation	01/24/2017		S	500 (3) D	\$ 84.3 (5)	252,060 (1)	I	Peter R. Chase

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Common Stock							Insurance Trust
Chase Corporation Common Stock	01/25/2017	S	500 (3) D	\$ 85.47 (4)	251,560 <u>(1)</u>	I	Peter R. Chase Insurance Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

> 9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	5. tionNumber of ) Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3	ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
<b>1</b>	Director	10% Owner	Officer	Other				
CHASE PETER R 295 UNIVERSITY AVE. WESTWOOD, MA 02090	X	X	Executive Chairman					
Signatures								

Paula Myers by power of 01/25/2017 attorney

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

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- (1) Represents shares held by the Peter R. Chase Insurance Trust.
- (2) Reflects shares held by the Peter R. Chase 2016 Qualified Annuity Trust #2
- (3) Reflects shares sold pursuant to a trading plan that was adopted on November 4, 2016 complying with Rule 10b5-1 under the Securities Exchange Act of 1934, as amended.
  - Represents a weighted average price. These shares were sold in multiple transactions at prices ranging from \$85.40 to \$85.60. For all
- (4) transactions reported on this date utilizing a weighted-average price, the reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.
- (5) All shares sold on this date at \$84.30.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.