

Contreras Jaime
Form 4
April 29, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Contreras Jaime

(Last) (First) (Middle)

100 ABBOTT PARK ROAD

(Street)

ABBOTT PARK, IL 60064

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

ABBOTT LABORATORIES [ABT]

3. Date of Earliest Transaction (Month/Day/Year)

04/25/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
X Officer (give title below) ___ Other (specify below)

Senior Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common shares without par value | 04/25/2019 | | M | | 46,016 | A | \$ 44.4 80,722 |
| Common shares without par value | 04/25/2019 | | M | | 36,716 | A | \$ 59.94 117,438 |
| Common shares without par value | 04/25/2019 | | S | | 70,400 | D | \$ 70 47,038 |

Edgar Filing: Contreras Jaime - Form 4

| | | | | | | | | |
|---------------------------------|------------|---|--------|---|----------|------------------------|---|--|
| Common shares without par value | 04/25/2019 | S | 12,332 | D | \$ 70.02 | 34,706 | D | |
| Common shares without par value | 04/26/2019 | M | 80,860 | A | \$ 38.4 | 115,566 | D | |
| Common shares without par value | 04/26/2019 | F | 57,962 | D | \$ 78.11 | 57,604 | D | |
| Common shares without par value | | | | | | 22 ⁽¹⁾ | I | Profit Sharing Trust |
| Common shares without par value | | | | | | 153,319 ⁽²⁾ | I | Jaime Contreras Marvan 2006 Living Trust |
| Common shares without par value | | | | | | 2,227 ⁽³⁾ | I | By Spouse |
| Common shares without par value | | | | | | 12,081 ⁽⁴⁾ | I | Living Trust (by spouse) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|

Edgar Filing: Contreras Jaime - Form 4

| | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
|--------------------------------------|----------|------------|------|---|-----|-----|------------------|-----------------|---------------|----------------------------|
| Option (right to buy) ⁽⁵⁾ | \$ 44.4 | 04/25/2019 | M | | | | 02/17/2019 | 02/16/2027 | Common shares | 46,016 |
| Option (right to buy) ⁽⁶⁾ | \$ 59.94 | 04/25/2019 | M | | | | 02/16/2019 | 02/15/2028 | Common shares | 36,716 |
| Option (right to buy) ⁽⁵⁾ | \$ 38.4 | 04/26/2019 | M | | | | 02/19/2019 | 02/18/2026 | Common shares | 80,860 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-----------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Contreras Jaime 100 ABBOTT PARK ROAD ABBOTT PARK, IL 60064 | | | Senior Vice President | |

Signatures

Jessica H. Paik, by power of attorney for Jaime Contreras 04/29/2019

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Balance in the Abbott Laboratories Stock Retirement Trust as of April 25, 2019.
- (2) Held in the Jaime Contreras Marvan 2006 Living Trust. The reporting person is sole trustee of the trust. The trust is revocable.
- (3) The reporting person disclaims beneficial ownership of all securities held by his spouse.
- (4) Held in spouse's living trust. The reporting person's spouse is sole trustee of the trust. The trust is revocable. The reporting person disclaims beneficial ownership of these securities.
- (5) Employee stock option granted pursuant to the Abbott Laboratories 2009 Incentive Stock Program, in a transaction exempt from Section 16 under Rule 16b-3.
- (6) Employee stock option granted pursuant to the Abbott Laboratories 2017 Incentive Stock Program, in a transaction exempt from Section 16 under Rule 16b-3.

Remarks:

These transactions were made pursuant to a previously adopted plan complying with Rule 10b5-1(c).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.