

Hiscock Ronald  
Form 4  
June 09, 2006

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Hiscock Ronald

(Last) (First) (Middle)

C/O ALPHATEC HOLDINGS,  
INC., 2051 PALOMAR AIRPORT  
ROAD

(Street)

CARLSBAD, CA 92001

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
Alphatec Holdings, Inc. [ATEC]

3. Date of Earliest Transaction  
(Month/Day/Year)  
06/07/2006

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
President & CEO

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Series A-1 Preferred Stock	06/07/2006		J		10,000	D	(1) 0
Common Stock	06/07/2006		J		3,835	A	(1) 3,835
New Redeemable Preferred Stock	06/07/2006		J		3,304	A	(1) 3,304
Common	06/07/2006		C		444,118	A	(5) 447,953

Edgar Filing: Hiscock Ronald - Form 4

Stock <sup>(4)</sup>

Common Stock	06/07/2006	J	8,762	A	<u>(2)</u>	456,715	D	
New Redeemable Preferred Stock	06/07/2006	J	7,548	A	<u>(2)</u>	10,852	D	
Common Stock	06/07/2006	P	7,777	A	\$ 9	464,492	D	
Common Stock	06/07/2006	P	833 <u>(6)</u>	A	\$ 9	465,325	I	By daughter
Common Stock	06/07/2006	P	833 <u>(6)</u>	D	\$ 9	466,158	I	By daughter

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Series				Code	V (A) (D)	Date Exercisable Expiration Date	Title	Amount or Number of Shares
A-1 Common Stock	<u>(3)</u>	06/07/2006		C	124,403	<u>(3)</u> <u>(3)</u>	Common Stock	444,118

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Hiscock Ronald C/O ALPHATEC HOLDINGS, INC. 2051 PALOMAR AIRPORT ROAD CARLSBAD, CA 92001	X		President & CEO	

## Signatures

/s/ Daren Graham,  
Attorney-in-fact

06/09/2006

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Series A-1 Preferred Stock was redeemed by the Issuer upon the closing of the Issuer's initial public offering for 3,835 shares of Common Stock, 3,304 shares of New Redeemable Preferred Stock and cash.
- (2) In payment of dividends accrued on the Series A-1 Common Stock, the Reporting Person received 8,762 shares of Common Stock, 7,548 shares of New Redeemable Preferred Stock and cash.
- (3) There is no expiration date. Each share of Series A-1 Common Stock converted into common stock on a 3.57-for-1 basis effective upon the closing of the Issuer's initial public offering.  

The Issuer has certain repurchase rights with respect to certain of these shares. The Issuer's repurchase rights with respect to 321,300 of such shares lapse annually in equal installments, beginning on June 7, 2006 and ending on June 7, 2010. Any unvested shares of restricted stock shall become vested immediately upon a sale of the Issuer or substantially all of the Issuer's assets. In addition, the Issuer's repurchase rights with respect to 107,100 shares lapsed upon completion of the Issuer's initial public offering.
- (5) Each share of Series A-1 Common Stock converted into common stock on a 3.57-for-1 basis effective upon the closing of the Issuer's initial public offering.
- (6) The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.