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THERMAGE INC

Form 3

November 09, 2006

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Carnell Clint

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

11/09/2006

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

THERMAGE INC [THRM]

4. Relationship of Reporting Person(s) to Issuer

Filed(Month/Day/Year)

5. If Amendment, Date Original

C/O THERMAGE.

INC., 25881 INDUSTRIAL

BOULEVARD

(Street)

10% Owner Director _X__ Officer Other

(Check all applicable)

(give title below) (specify below) **VP Domestic Sales**

6. Individual or Joint/Group

Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

HAYWARD. CAÂ 94545

(City) (State) (Zip)

1. Title of Security (Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial

Ownership Form: (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date**

(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

Conversion or Exercise Price of

4.

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

Amount or

Derivative Security

Security: Direct (D)

Derivative

Exercisable

Expiration Date

Title

Number of

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Shares or Indirect
(I)
(Instr. 5)

Employee Stock Option (right to buy)

 $\hat{A} \stackrel{(1)}{=} 10/05/2015 \begin{array}{c} \text{Common} \\ \text{Stock} \end{array}$

150,000 \$ 1.9

D Â

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

Carnell Clint
C/O THERMAGE, INC.
25881 INDUSTRIAL BOULEVARD
HAYWARD, CAÂ 94545

Signatures

/s/ Clint Carnell 10/31/2006

**Signature of Pate Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option vests and the shares become exercisable according to the following schedule: 1/4 on September 26, 2006 and 1/48 on the last day of each full calendar month thereafter.

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Remarks:

The Date of Event is based upon the date of effectiveness of the Issuer's Registration Statement o Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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