FIRST CITIZENS BANCSHARES INC /DE/

Form 4 April 09, 2009

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

Form 5

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * HOLDING LEWIS R

(First)

2. Issuer Name and Ticker or Trading

Symbol

FIRST CITIZENS BANCSHARES

INC /DE/ [FCNCA]

3. Date of Earliest Transaction

(Month/Day/Year) 04/08/2009

POST OFFICE BOX 29549

(Middle)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

_X__ 10% Owner

_ Other (specify

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Applicable Line)

Director

Officer (give title

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

Issuer

below)

RALEIGH, NC 27626

(City)	(State)	(Zip) Ta	ble I - Non	-Derivative Securities	Acquired, Dispo	osed of, or Be	neficially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or Amount (D) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock					48,963 <u>(1)</u>	I	By spouse
Class A Common Stock					25,129 <u>(1)</u>	I	By Adult Child
Class A Common Stock					18,145 (2)	I	By Yadkin Valley Company
Class A Common					700 (2)	I	By Yadkin Valley Life

Stock			Insurance Company
Class A Common Stock	167,600 (2)	I	By First Citizens Bancorporation, Inc.
Class A Common Stock	100,000 (2)	I	By Fidelity BancShares (N.C.), Inc.
Class A Common Stock	46,699 (2)	I	By Southern BancShares (N.C.), Inc.
Class A Common Stock	46,000 (2)	I	By Southern Bank and Trust Company
Class A Common Stock	54,000 (2)	I	By Goshen, Inc.
Class B Common Stock	12,025 (1)	I	By spouse
Class B Common Stock	175 (2)	I	By Yadkin Valley Life Insurance Company
Class B Common Stock	45,900 (2)	I	By First Citizens Bancorporation, Inc.
Class B Common Stock	22,619 (2)	I	By Southren BancShares (N.C.), Inc.
Class B Common Stock	726 (1)	I	By Adult Child
Class B Common Stock	1,725 (2)	I	By Yadkin Valley CompanyClass
Class B Common Stock	217 (1)	I	By Trust for Sheppard K. Ames IV
Class B Common Stock	106 (1)	I	By Trust for Carolyn R. Ames
Class A Common	284 (1)	I	By Trust for Carolyn R.

Stock								Ames
Class B Common Stock						31,469	D	
Class A Common Stock						173 (1)	I	By Trust for Shepard K. Ames
Class A Common Stock	04/08/2009	S	144	D	\$ 125	618,247	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. DiNumber of Derivative Securities Acquired (A) or Disposed		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans
				of (D) (Instr. 3, 4, and 5)						(Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			
HOLDING LEWIS R POST OFFICE BOX 29549		X					
RALEIGH, NC 27626		71					

Signatures

Lewis R. Holding, By: William R. Lathan, Jr., 04/09/2009 Attorney-in-Fact

> Date **Signature of Reporting Person

3 Reporting Owners

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the
- (1) reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.
- (2) The reporting person is a director, officer and/or principal shareholder of the companies that own these shares, but he disclaims beneficial ownership of the listed shares except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.