Edgar Filing: Altman Roger C - Form 4

Altman Roge Form 4	er C										
September 13	3, 2011										
FORM	4									PPROVAL	
	UNITED	STATES		AITIES A			NGE	COMMISSION	OMB Number:	3235-0287	
Check thi if no long subject to	F CHAN	GES IN		Expires: Estimated	January 31, 2005 average						
Section 1 Form 4 or				SECUR	ITIES	burden hou	urs per				
Form 4 or Form 5 obligations may continue. See Instruction 1(b). Form 5 obligations may continue. See Instruction Form 5 obligations Mage Continue. See Instruction Form 5 See Instru											
(Print or Type R	Responses)										
Altman Roger C Symbol				Name and e Partners			ıg	5. Relationship of Reporting Person(s) to Issuer			
(Last)					ansaction	, Kj		(Check all applicable)			
(Month/D					ansaction			_X_ Director		% Owner	
C/O EVERCORE PARTNERS 09/09/2 INC., 55 EAST 52ND STREET, 38TH FLOOR				011		e title Other (specify below) Chairman and Co-CEO					
				ndment, Da th/Day/Year)	-	l		 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
NEW YORI	X, NY 10055							Person	viore than One K	eporting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative	Securi	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)				Disposed (Instr. 3,	l (A) o l of (D 4 and (A) or) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Shares of				Code V	Amount	(D)	Price	(Insu: 5 and 4)			
Class A											
common stock, par value \$0.01 per share	09/09/2011			A <u>(1)</u>	301	A	\$0	379,644	D		
Shares of Class A common stock, par value \$0.01	09/09/2011			A <u>(2)</u>	1,052	Α	\$0	380,696	D		

per share								
Shares of Class A common stock, par value \$0.01 per share	09/09/2011	A <u>(3)</u>	446	A	\$ 0	381,142	D	
Shares of Class A common stock, par value \$0.01 per share	09/09/2011	A <u>(4)</u>	276	A	\$ 0	381,418	D	
Shares of Class B common stock, par value \$0.01 per share						1	D	
Shares of Class B common stock, par value \$0.01 per share						1	I	Share held by trust. (5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)		4. Transact Code	5. tionNumb of	ber	6. Date Exerce Expiration D (Month/Day/	ate	7. Titl Amou		8. Price of Derivative Security	9. Nu Deriv Secu
(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)		rities	e	1047)	Secur		(Instr. 5)	Bene Owne Follo
	Ĵ				(A) or Disposed of (D) (Instr 4, and	or osed) :.3,						Repo Trans (Instr
				Code V	√ (A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Altman Roger C C/O EVERCORE PARTNERS INC. 55 EAST 52ND STREET, 38TH FLOOR NEW YORK, NY 10055	Х		Executive Chairman and Co-CEO				
Signatures							
/s/ Adam B. Frankel, as Attorney-in-Fact	09/13	/2011					
**Signature of Reporting Person	Da	te					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Shares of Class A common stock are dividend equivalents in the form of restricted stock units on 39,667 unvested underlying RSUs
 (1) awarded on February 9, 2009. The dividend equivalent RSUs will vest concurrent with the vesting of the unvested underlying RSUs awarded on February 9, 2009.

Shares of Class A common stock are dividend equivalents in the form of restricted stock units on 138,890 unvested underlying RSUs
 (2) awarded on February 4, 2010. The dividend equivalent RSUs will vest concurrent with the vesting of the unvested underlying RSUs awarded on February 4, 2010.

Shares of Class A common stock are dividend equivalents in the form of restricted stock units on 58,867 unvested underlying RSUs(3) awarded on February 4, 2011. The dividend equivalent RSUs will vest concurrent with the vesting of the unvested underlying RSUs awarded on February 4, 2011.

Shares of Class A common stock are dividend equivalents in the form of restricted stock units on 36,385 unvested underlying RSUs
(4) awarded on February 18, 2011. The dividend equivalent RSUs will vest concurrent with the vesting of the unvested underlying RSUs awarded on February 18, 2011.

This share of Class B common stock is held in trust for the benefit of Mr. Altman's family. Mr. Altman disclaims beneficial ownership of(5) this share of Class B common stock, and the filing of this report is not an admission that Mr. Altman is the beneficial owner of this share of Class B common stock for the purposes of Section 16 or any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Shares