#### Edgar Filing: ORTON LAURENCE MICHAEL - Form 3

#### ORTON LAURENCE MICHAEL

Form 3

November 16, 2012

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Chemtura CORP [CHMT]  **ORTON LAURENCE** (Month/Day/Year) 11/09/2012 **MICHAEL** (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 199 BENSON ROAD (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) Director 10% Owner \_X\_ Form filed by One Reporting X\_ Officer \_Other Person (give title below) (specify below) MIDDLEBURY, CTÂ 06749 Form filed by More than One VP, Corporate Controller Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â 18,111 (1) Common Stock D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
	(Month/Day/Year)	Derivative Security	or Exercise	or Exercise Form of Own	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
		Title	Derivative	Security:	
			Security	Direct (D)	

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	(2)	11/10/2020	Common Stock	6,963	\$ 15.5	D	Â
Employee Stock Option (right to buy)	(3)	03/10/2021	Common Stock	3,027	\$ 16.03	D	Â
Employee Stock Option (right to buy)	(4)	03/10/2021	Common Stock	6,659	\$ 16.03	D	Â
Employee Stock Option (right to buy)	(5)	03/10/2021	Common Stock	11,503	\$ 16.03	D	Â
Employee Stock Option (right to buy)	(6)	03/01/2022	Common Stock	7,163	\$ 15.38	D	Â
Performance Shares	(7)	03/01/2015	Common Stock	2,877	\$ <u>(7)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
<b></b>	Director	10% Owner	Officer	Other	
ORTON LAURENCE MICHAEL 199 BENSON ROAD MIDDLEBURY, CT 06749	Â	Â	VP, Corporate Controller	Â	

# **Signatures**

/s/ Alan Schutzman by Power of Attorney

11/16/2012

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 8,923 restricted stock units, which vest as follows: 1,726 on each of March 1, 2013 and March 1, 2014; 1,727 on March 1, 2015; 1,040 on each of March 10, 2013 and March 10, 2014; and 1,664 on March 31, 2013.
- (2) Grant of stock options. As of March 31, 2012, all stock options have vested.
- (3) Grant of stock options. As of November 16, 2012, 2,018 stock options have vested. The remaining stock options vest on March 31, 2013.
- (4) Grant of stock options. As of November 16, 2012, 4,439 stock options have vested. The remaining stock options vest on March 31, 2013.
- (5) Grant of stock options. As of November 16, 2012, 3,834 stock options have vested. The remaining stock options vest in two equal installments: March 10, 2013 and March 10, 2014.
- (6) Grant of stock options. The stock options vest as follows: 2,387 on March 1, 2013 and 2,388 on each of March 1, 2014 and March 1, 2015.
- (7) Each performance share represents a contingent right to receive one share of Chemtura Corporation common stock. The performance shares vest based on Chemtura Corporation's total shareholder return against the companies comprising the Russell 3000 Index.

Â

Reporting Owners 2

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#### **Remarks:**

Exhibit Index: Exhibit 24.1 - Power of Attorney.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.