American Water Works Company, Inc. Form 4 November 15, 2013

November 1	5, 2013								
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION						OMB APPROVAL			
Washington, D.C. 20549						OMB Number:	3235-0287		
Check this box if no longer								Expires:	January 31,
subject to Section 1 Form 4 c	5 SIAIEN l6. or		HANGES IN BENEFICIAL OW SECURITIES					Estimated a burden hou response	
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									
(Print or Type Responses)									
1. Name and A ROGERS V	Symbol	2. Issuer Name <b>and</b> Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer			
		American Water Works Company, Inc. [AWK]				(Check all applicable)			
(Last)		(Month/	of Earliest T Day/Year)	ransaction			Director X Officer (give below)		Owner er (specify
1025 LAUF	11/13/2	11/13/2013				Vice President and Treasurer			
	(Street)	4. If Am	endment, D	ate Origina	1		6. Individual or Jo	int/Group Filin	g(Check
VOORHEES NL08043 — Form filed by					_X_ Form filed by C Form filed by M	One Reporting Person More than One Reporting			
		(Zin)					Person		
(City)	(State)	(Zip) Tak	ole I - Non-l	Derivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	<ul> <li>2A. Deemed</li> <li>Execution Date, if</li> <li>any</li> <li>(Month/Day/Year)</li> </ul>	on Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)			d of (D)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	11/13/2013	11/13/2013	М	705	A	\$ 27.08	1,374	D	
Common Stock	11/13/2013	11/13/2013	М	2,820	А	\$ 34.12	4,194	D	
Common Stock	11/13/2013	11/13/2013	S	3,525	D	\$ 42.4	669	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

### Edgar Filing: American Water Works Company, Inc. - Form 4

#### number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	Transaction of Derivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (right to buy)	\$ 27.08	11/13/2013	11/13/2013	М	705	<u>(1)</u>	12/31/2017	Common Stock	705	
Employee Stock Option (right to buy)	\$ 34.12	11/13/2013	11/13/2013	М	2,820	(2)	12/31/2018	Common Stock	2,820	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
ROGERS WILLIAM D			Vice			
1025 LAUREL OAK ROAD			President and			
VOORHEES, NJ 08043			Treasurer			
Signatures						

## biynatui

/s/ Karen K. Pettiford-Wilson by Power of Attorney previously	11/15/2013	
filed	11/15/2015	

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, *see* Instruction 4(b)(v). \*
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Option Award granted on February 24, 2011, representing a right to purchase a total of 10,058 shares at \$27.08, vested 1/3 on (1) January 1, 2012 and 1/3 on January 1, 2013.

(2)

8 Γ S 0

### Edgar Filing: American Water Works Company, Inc. - Form 4

The Option Award granted on February 23, 2012, representing a right to purchase a total of 8,462 shares at \$34.12, vested 1/3 on January 1, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.