

FISERV INC
Form 5
February 11, 2014

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
YABUKI JEFFERY W

(Last) (First) (Middle)

255 FISERV DRIVE

(Street)

BROOKFIELD, WI 53045

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
FISERV INC [FISV]

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
President & CEO

6. Individual or Joint/Group Reporting

(check applicable line)

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) or (D)	Price	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	12/30/2013	Â	G	14,000	D	\$ 0	273,656 ⁽¹⁾	D	Â
Common Stock	12/30/2013	Â	G	14,000	A	\$ 0	23,600	I	By Trust ⁽²⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
					(A) (D)	Date Exercisable Expiration Date	Title Amount of Number of Shares
Stock Option (right to buy)	\$ 40.35 ⁽¹⁾	Â	Â	Â	Â Â	02/20/2014 ⁽³⁾ 02/20/2023	Common Stock 350,224 ⁽¹⁾
Stock Option (right to buy)	\$ 32.64 ⁽¹⁾	Â	Â	Â	Â Â	02/22/2013 ⁽³⁾ 02/22/2022	Common Stock 241,350 ⁽¹⁾
Stock Option (right to buy)	\$ 30.86 ⁽¹⁾	Â	Â	Â	Â Â	02/23/2012 ⁽³⁾ 02/23/2021	Common Stock 329,190 ⁽¹⁾
Stock Option (right to buy)	\$ 23.85 ⁽¹⁾	Â	Â	Â	Â Â	02/24/2011 02/24/2020	Common Stock 388,820 ⁽¹⁾
Stock Option (right to buy)	\$ 16.37 ⁽¹⁾	Â	Â	Â	Â Â	02/26/2010 02/26/2019	Common Stock 543,984 ⁽¹⁾
Stock Option (right to buy)	\$ 27.11 ⁽¹⁾	Â	Â	Â	Â Â	02/23/2011 02/27/2018	Common Stock 51,652 ⁽¹⁾
Stock Option (right to buy)	\$ 27.11 ⁽¹⁾	Â	Â	Â	Â Â	02/27/2009 02/27/2018	Common Stock 190,548 ⁽¹⁾
Stock Option (right to buy)	\$ 27.35 ⁽¹⁾	Â	Â	Â	Â Â	02/23/2007 02/23/2017	Common Stock 248,784 ⁽¹⁾

Stock Option (right to buy)	\$ 23.05 (1)	^	^	^	^	^	12/01/2006	12/01/2015	Common Stock	290,000 (1)
Stock Option (right to buy)	\$ 23.05 (1)	^	^	^	^	^	12/01/2006	12/01/2015	Common Stock	450,000 (1)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
YABUKI JEFFERY W 255 FISERV DRIVE BROOKFIELD, WI 53045	^ X	^	^ President & CEO	^

Signatures

/s/ Lynn S. McCreary
(attorney-in-fact) 02/11/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Adjusted to reflect the two-for-one split of Fiserv, Inc. common stock distributed on December 16, 2013 to holders of record on December 2, 2013.
- (2) By the Yabuki Family Foundation of which Mr. Yabuki serves as trustee.
- (3) One-third of these options vest on each anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.