Edgar Filing: BASSETT FURNITURE INDUSTRIES INC - Form 4

BASSETT FURNITURE INDUSTRIES INC

Form 4

November 01, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									OMB APPROVAL			
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549										3235-0287		
Check the if no long subject to	ger STATE									January 31, 2005		
Section 1 Form 4 c	SECUI	RITIES				Estimated a burden hou response						
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940												
(Print or Type Responses)												
SPILMAN ROBERT H JR Symbol BASSE				r Name an			ing	5. Relationship of Reporting Person(s) to Issuer				
				TRIES II				(Check all applicable)				
(Month/E				of Earliest Transaction Day/Year)				X_ Director 10% Owner Security Delow) Other (specify below)				
3525 FAIRYSTONE PARK HWY, P 11/01/2013 O BOX 626 Pres & Chief Executive Officer										fficer		
				nendment, Date Original Ionth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
BASSETT, VA 24055 Form filed by More than One Reporting Person										porting		
(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1.Title of Security (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) (Month/Day/Year)			3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Common	11/01/2013			Code V A	3,000 (1)	(D)	Price \$ 13.75	138,199.0532	D D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	of	6. Date Exercisable and Expiration Date (Month/Day/Year)		Amoun Underly Securit	nt of lying	Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
					4, and 5)	Date	Expiration		Amount		
				Code V	(A) (D)	Exercisable	Date	Title	Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
-	Director	10% Owner	Officer	Other			
SPILMAN ROBERT H JR 3525 FAIRYSTONE PARK HWY P O BOX 626 BASSETT, VA 24055	X		Pres & Chief Executive Officer				

Signatures

Robert H
Spilman Jr

**Signature of Reporting Person

To 11/01/2013

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

RESTRICTIONS ON SALE AND RISK OF FORFEITURE UNTIL VESTING (1/5 VESTING EACH ANIVERSARY OVER 5

(1) YEARS CONTINUOUS SERVICE)OR EARLIER UPON DEATH OR RETIREMENT. ALSO SUBJECT TO THE COMPANY'S STOCK OWNERSHIP GUIDELINES.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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