Armada Oil, Inc. Form 3 January 30, 2014

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Zaziski Fred B

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

01/14/2014

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Armada Oil, Inc. [AOIL]

C/O ARMADA OIL,

INC., Â 5220 SPRING VALLEY

**ROAD. SUITE 615** 

(Street)

4. Relationship of Reporting

Person(s) to Issuer

Filed(Month/Day/Year)

5. If Amendment, Date Original

(Check all applicable)

10% Owner \_X\_ Director Officer Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

DALLAS. TXÂ 75254

(City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

Ownership (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Common Stock

 $20,000^{(1)}$ 

Â D

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 2. Date Exercisable and (Instr. 4)

**Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Ownership Conversion or Exercise Form of

6. Nature of Indirect Beneficial

Ownership

(Instr. 5)

Price of Derivative Derivative Security:

### Edgar Filing: Armada Oil, Inc. - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Statutory Options	12/31/2013	12/31/2017	Common Stock	100,000 (2)	\$ 0.4	D	Â
Statutory Options	04/19/2013	04/01/2018	Common Stock	55,000 (3)	\$ 0.4	D	Â
Statutory Options	06/08/2013	06/07/2017	Common Stock	40,000 (4)	\$ 0.36	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Zaziski Fred B				
C/O ARMADA OIL, INC.	ÂΧ	Â	â	â
5220 SPRING VALLEY ROAD, SUITE 615	АЛ	А	A	A
DALLAS, TX 75254				

## **Signatures**

/s/ Fred B.

Zaziski

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 10,000 shares under a restricted stock grant which was assumed by the Issuer under an Asset Acquisition Agreement

  ("Acquisition Agreement") between Mesa Energy Holdings, Inc. ("Mesa") and the Issuer. The grant was made under the Issuer's 2012

  Long-Term Incentive Plan and the shares are fully vested. The remaining shares represent shares of Issuer's common stock that were
- Long-Term Incentive Plan and the shares are fully vested. The remaining shares represent shares of Issuer's common stock that were issued in exchange for shares of Mesa common stock pursuant to the Acquisition Agreement.
- (2) These options were granted on December 31, 2013 and the shares are fully vested.
- (3) These options were granted on April 19, 2013 and fifty percent of the shares are vested. The balance of the shares will vest on April 19, 2014
- This Incentive Stock Option was assumed by the Issuer under the Acquisition Agreement between Mesa and the Issuer and replaced with

  (4) an Incentive Stock Option to buy shares of the Issuer's common stock under the Armada's 2012 Long-Term Incentive Plan. These options were granted on June 8, 2012 and fifty percent of the shares are vested. The balance of the shares will vest on June 8, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2